# ELSI RISING 地球生命研究所 ことのはじまり



WRITTEN BY MARC KAUFMAN PHOTOGRAPHY NERISSA ESCANLAR

Cover photo: Apollo 11 Earth ©NASA



#### 地 球 ELSI RISING

BY

Marc Kaufman

photography by Nerissa Escanlar



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#### Hitoshi Murayama Director of Kavli Institute for the Physics and Mathematics of the Universe

When ELSI was born, we rejoiced. We were granted a younger brother to play with!

ELSI proposed to study the origin of life. My institute, the Kavli Institute for the Physics and Mathematics of the Universe, or IPMU, proposed to study the origin of the universe. Why do we care? It is not that solving these mysteries will help us eat healthier, get rich, become immortal, or reduce carbon emissions. But these questions speak to our core, to who we are. For some reason, we humans want to know where we came from, what are our origins. These questions are what make us humans. With ELSI, we can seek our origins together.

I must have been hallucinating when I signed up to be the founding director of Kavli IPMU back in 2007. It was one of the first five centers funded by the same program that funds ELSI. The program is called the World Premier International Research Center Initiative (WPI), and it has very ambitious goals. Under this program, the Japanese government wants to create world-class research centers with broad international membership and wide recognition. New research fields must be created within interdisciplinary environments. One goal is to make the rest of Japan's universities so inspired by our success that they want to change their ways and emulate the WPI centers. We are supposed to become competitive with places like the Max Planck Institutes in Germany or the Institute for Advanced Study in Princeton, centers with long and impressive histories. All in less than ten years.

We had to move mountains, and it has been a huge challenge to realize the ambitions of the WPI program. Right after the launch in 2007, I and my colleagues literally went around the world to advertise the concept of the institute and its new open positions, and by now we have recruited nearly 200 scientists. We designed our building on the University of Tokyo campus and we created a new scientific culture to make it easier for people in different disciplines to mingle informally. The University of Tokyo had to write new rules and policies to accommodate our needs to create an international institute, so that we could make offers competitive with Harvard or Cambridge, for example. And we set up a support system for international members who do not speak Japanese.

We had to keep justifying our existence. Why do we deserve to receive \$130 million of taxpayers' money to study subjects with no apparent direct applications? What can we give back to the people who paid for this? We listed five big questions as our mission: how did the Universe begin? What is its fate? What is it made of? What are its fundamental laws? Why do we exist in it? We hoped anybody could relate to these questions



Courtesy of EMP, The University of Tokyo

and support them. We engaged in active outreach to inspire young students to study math and science. We argued that this kind of basic research is what leads to quantum leaps in knowledge and technological innovations. Remember Euclid realized around 400 B.C. that any integer number can be written as a product of prime numbers. This seemingly useless observation is now the cornerstone of Internet communication!

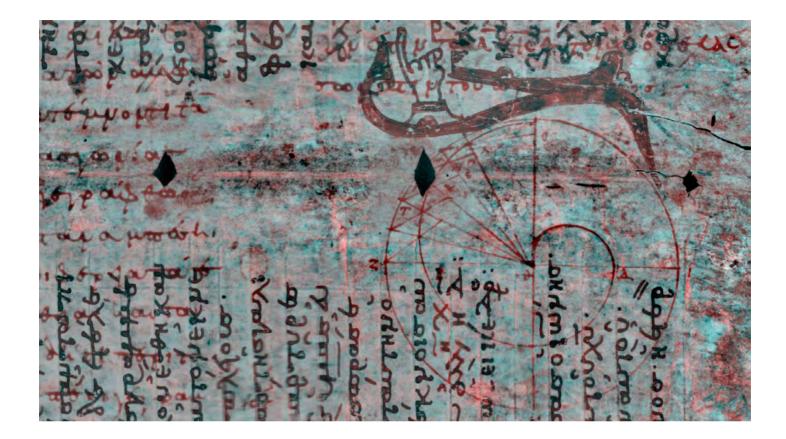
Five years later, ELSI started to follow our path. We have our brother who shares all the struggles and challenges with us. We are now in the same boat on a long journey.

The world took notice. Together with the seven other centers, we apparently managed to establish the WPI program as a real success at the funding agency. It became a role model to the universities not only in Japan but also in many other countries. WPI centers achieved excellent impact factors in terms of citation counts and number of highly cited papers. As a result, the Japanese government decided to establish even more WPI centers.

What may be most important, though, is that we are having great fun trying to solve deep mysteries as if they were detective stories. There are many twists and turns, dead ends, and serendipitous discoveries. We feel enormous joy when we make just one small step toward a resolution. And we are not going alone; we now go hand in hand.

This monograph is about the fascinating story of how ELSI was conceived, how it was launched, who were behind it, how it struggled, why it attracted great scientists, and how it landed on a successful trajectory. I can relate to every aspect of the story.

And hopefully, together we will uncover the origin of life and of the Universe one day.



# 1.Signatures

It's an everyday technique for scientists in the origins-of-life field, but it remains something of a marvel. The Archimedes palimpsest is a Byzantine copy of a previously unknown work by the ancient Greek mathematician Archimedes

The presence of early microbial life forms will not be preserved in fossils – no bones, no telltale organics left behind – but they can be preserved in isotopic signatures. A less-than-normal amount carbon-12 in a rock can mean that life was once present because that's the isotope of carbon that life prefers and then leaves the carbon-13 isotope behind.

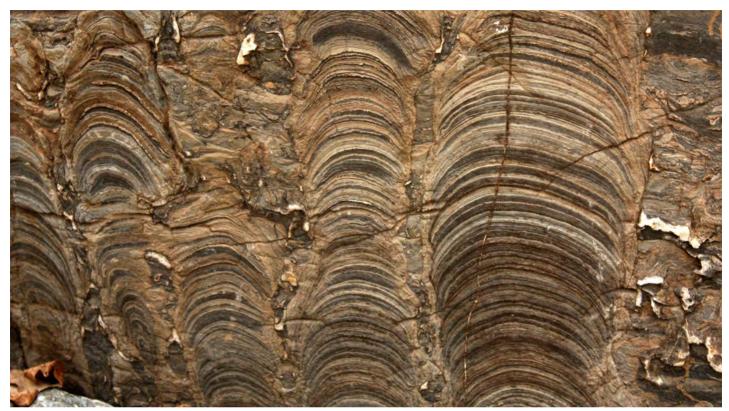
Using the same kind of logic and measurements, geochemists can tell what elements and compounds were present in an ancient atmosphere by analyzing what was left behind. A skewed ratio of sulfur isotopes in a sample, for instance, would tell scientists that there was very little – or later a lot – of oxygen in the atmosphere at one time.

The Archimedes palimpsest is a Byzantine copy of a previously unknown work by the ancient Greek mathematician Archimedes of Syracuse. It was overwritten with religious texts in the 13th century, but the original was rediscovered and the underlying writing and math was uncovered ten years ago using advanced imaging technology. While we can directly measure and analyze biology and chemistry and geology on the Earth now, origins-oflife and early-Earth science is driven by creative indirection.

And not just from the faint isotopic remains of long-ago interactions. Sometimes the issue is working out

what must have happened to produce a particular reality today. An iconic example: RNA exists everywhere, yet the long-chained molecules needed to form the backbone of RNA break apart in water. So how did the earliest RNA molecules form, since almost everything else involved with the origin of life needed water?

Might the same logic of indirection work when it comes to understanding the present and the promise of a social construct? Might it, for example, be useful in understanding



Andre'-P. Drapeau P.

So how did this happen and why?

faint, left-behind signatures of decisions

made and unaddressed, of people and

their science and values that have been

I suggest we look to those often-

a unique social construct like the Earth-Life Science Institute, on the campus of the Tokyo Institute of Technology?

Some of what we see is self-evident: a thoughtfully conceived new building; a series of public and private seminars,

workshops, and presentations; a population of scientists from varied disciplines who make up an unusual mixture of Japanese and non-Japanese researchers from around the world.

We can see that in a surprisingly short time ELSI has science and scientists. This achievement is noteworthy but was not at all preordained. Things could have turned out quite differently, as they did in many stages of the origin of life story itself.

Stromatolites are round, multilayered mineral structures built by layers upon layers of cyanobacteria mats. They are the oldest clear evidence of life on Earth, with some having been dated to more than 3.5 billion years ago.

woven into the fabric of the endeavor.

Isotopic social and scientific remains, if you will.

Perhaps they, if teased out, can tell us even more than what our eyes can now see and our ears can now hear about not only the past, the present, and the likely future of a place but also about its strengths and its fault lines. They might even provide insights and lessons for similar social constructs in the future.

Origins stories are always cloaked in a complex system of actions and reactions. That's why they're so interesting.



Kei Hirose - Director ELSI

#### 2.The Idea of ELSI

ELSI was born out of a formal government consensus that Japanese science, however strong it might be in some areas, was nonetheless as a whole falling behind. The word "crisis" was frequently heard.

That something needed to be done was a decision formally taken in 2007, when the Japanese economy was in the doldrums and had been for some time. While still prosperous by global standards, the nation was judged by its leaders to be under-performing in some essential way, while at the same time doing an insufficient job of highlighting the scientific advances that were being made.

One way out designed by the government was to set up and generously fund a number of scientific institutes that would be run in novel ways and would have as their goal future recognition as world-class destinations – thus the World Premier International Research Center Initiative (WPI).

As explained to me by one of the men at the center of the initiative, Toshio Kuroki of the Japan Society for the Promotion of Science, those groups competing for the \$100 million over ten years would have to clear a bar that was both high and quite unusual:

•They would have to outline plans to attract and keep significant numbers of quality scientists from abroad.

•They would have to design policies to make the institutes more freewheeling and open to innovation than many institutions in Japan.

•And they would have to provide models for transforming some of the traditional ways that Japanese science and education have operated.

"For Japanese science, change was and is essential," says Toshio Kuroki, who was the longtime program manager for the WPI program. "We need new ways to encourage as opposed to discourage innovation, and we need to make it more desirable for talented young Japanese scientists to stay and work in Japan."

The selection of what would ultimately be nine new \$100 million institutes took place over five years and resulted in a rather eclectic mix. Some institutes have a decidedly practical mission – in materials science, in nanotechnology, in sleep research, in green energy – but two are embedded in



Shigeru Ida - Vice Director ELSI

basic science. First in 2007 came a center at the University of Tokyo for research into the origin and evolution of the universe, and then, in 2012, came ELSI at the Tokyo Institute of Technology.

Under the leadership of Kei Hirose, a renowned researcher of deep-Earth dynamics, ELSI set out to wrestle with the very big question of how life began and evolved on Earth.

The effort would be unique in several crucial ways: ELSI would focus as much on the Earth science question of how our planet became a place conducive to life as it would on how that life might have started. And it would be a stand-alone institute with the goal of tackling the many intractable questions surrounding the origin of life, rather than a looser affiliation of scientists with similar projects and goals but many home bases.

Mary Voytek, director of the astrobiology program at NASA, knows as much as anyone about where and how the origins question is being studied. Her conclusion: There is no place in the world like ELSI. It is unique in bringing together a significant number of scientists into one building to work separately and together on these questions.

So ELSI started with the highest of aspirations, with generous funding, and with a research approach that encouraged scientists from different disciplines (and different countries) to focus on problems they together identified as related.

In an effort to internationalize the feel and workings of the institute, meetings and lab consultations were to be held in English even though the original ELSI staff was made up largely of Japanese researchers and administrators.

A difficult undertaking under any conditions, the birthing of ELSI would take place in a wider campus environment that remains often hierarchical, as many Japanese scientists and educators describe it. Scientists tend to primarily be loyal to their disciplines, and ways of approaching science remain – very broadly speaking – distinctively Japanese.

As ELSI Vice Director Shigeru Ida describes it, a great and longtime strength of Japanese science has been its ability to bring together individuals to form cohesive teams that work with great focus on a subject. Whereas many of the international newcomers are inclined to think about their subjects as broadly as possible, many Japanese scientists are inclined instead to dig deep into the specific areas of their expertise. The traditional Japanese approach is both a great strength, Ida said, and also at times a source of scientific conservatism.

Isaiah Berlin's hedgehog and the fox come to mind: A fox knows many things, but a hedgehog knows one important thing well.

At ELSI the signatures of this dichotomy, and the results of its creative tension, are not hard to find.



Mary Voytek is the leader of NASA's extensive astrobiology program, and is a frequent visitor to ELSI. As a Global Science Coordinator for the ELSI Origins Network program, she has shared her expertise in science and management as well as given guidance to early-career researchers at ELSI. She has also helped connect NASA scientists with the institute.



The cusp of change. Flying Swallows play "catch the tail" in Utagawa Hiroshige's late 19th-century masterpiece of 浮世絵 (ukiyo-e) style.

### 3.Fertile Ground

Why Tokyo? Or, viewed from another angle, why origins of life? Why would the Japanese government select that subject for one of its premier institutes?

While deep-Earth science and the dynamics of the early, pre-biotic Earth are historically important in Japan – for reasons having everything to do with its location on the Ring of Fire – origins of life have not been a major focus, and especially not at the Tokyo Institute of Technology.

But there are good reasons why origins-oflife science would resonate in Japan. Some had to do with particular individuals and their passions and others had to do with the culture, says former Tokyo Tech biology professor and former ELSI administrator Motonori Hoshi.

His explanation of the origin of ELSI begins, however, on an unexpected note: The long-ago arrival in Japan of one Edward Sylvester Morse, a student of the renowned Harvard University professor and innovator Louis Agassiz. The footloose young Morse landed in Tokyo after Japan had been opened up to the world by the Meiji Restoration and the gunboats of Commodore Matthew Perry. That period of opening and the American role in it is usually described in terms of opening Japan for trade, and the ensuing understanding by Japanese leaders that the country had to modernize or risk domination by others.

> But on a less-martial and less-commercial note, quite a few Europeans and Americans such as Morse began coming to Japan as well and their contribution was rather different. A life scientist and specialist in mollusks, Morse introduced zoology to Japan and established the first marine laboratory in the country. And on a regular basis, he lectured on Darwinian evolution.

> > E.S. Morse first came to Japan in 1871 at his own expense to collect marine animals (brachiopods) for his scientific work. He researched extensively and lectured on evolution and other topics, and became the first professor of zoology in Japan.



ELSI Science Steering Committee chair Eric Smith and former ELSI Administrative Director Motonori Hoshi walking on Mt. Fuji.

He fell in love with the country and its culture, returned numerous times, and became an avid collector of Japanese ceramics. Such was the sophistication of his ceramics collection that Count Ōkhuma Shigenobu, an influential man of the day, donated his own fine collection to Morse. These priceless ceramics now form part of the "Morse Collection" of Museum of Fine Arts in Boston. Morse later became the president of the American Association for the Advancement of Science.

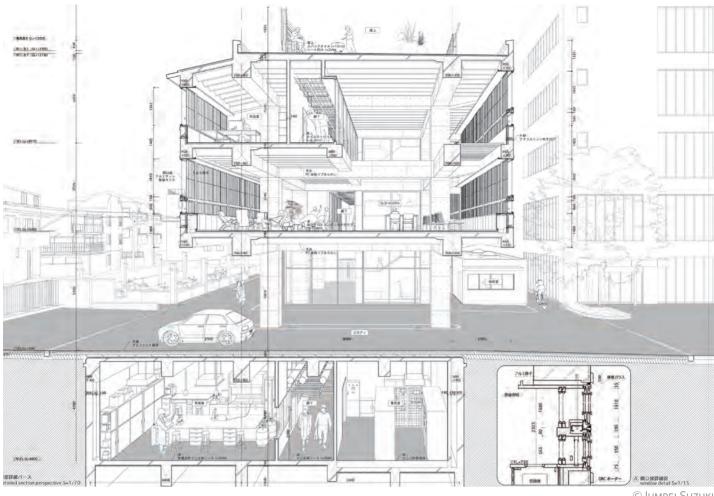
As Hoshi explains, Morse and other American and European scientists were welcomed and appreciated in Japan, and their message on evolution and the changing Earth fell on receptive ears.

And why not? The Buddhism that pervades the culture and has as a central theme that change is inherent in all things.

This iconic message, as expressed long ago by the Buddha, is a key aspect of his teaching. The embrace of inevitable change, Hoshi says, made evolution an entirely comfortable explanation of the world in Japan, and there has never been much (or possibly any) opposition to it. Evolution might be expressed, in fact, as a scientific elaboration on that central Buddhist teaching.

So ELSI was generously funded by the Japanese government (specifically the Ministry of Education, Culture, Sports, Science and Technology, or MEXT) in part because it dealt with issues that are of interest to the society and that fit quite well into its general comfort zone. This preference was not a promoted factor in the specific decision making; rather, it was a silent but definitely supportive part of the background.

The broad origins-of-life subject also fit into the WPI vision of starting up institutes that it expected to have some global importance. And that often means setting up shop in areas of inquiry that have, to some extent, not been similarly embraced elsewhere. While there are origin-of-Earth and origin-of-life programs at numerous campuses around the world, there is no other large institute dedicated solely to those two subjects.



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## 4. The Originators

The men who conceived of ELSI and put together the winning proposal were the deep-Earth, high-pressure specialist Hirose; the long-respected planet formation expert Shigeru Ida; the biogeochemist Yuichiro Ueno; veteran geologist Shigenori Maruyama;

and, later, Jun Makino, now a prominent pioneer in space-related, high-performance computing and then an at-large researcher, like the others, at the Tokyo Institute of Technology.

Another person present at the beginning was astrophysicist Piet Hut of the Institute for Advanced Study at Princeton. Hut specializes in computer simulations of dense stellar systems and, in the broadest of ways, interdisciplinary studies.

Hut had spent enough years in Japan to speak the language well and was brought into the ELSI effort primarily by Makino, for whom Hut had been something of a mentor. As an insight into how important relationships are formed in this premier science world, the story of the

The ELSI building was designed by Yoshiharu Tsukamoto and team. Tsukamoto is an architecture professor at Tokyo Tech's School of Environment and Society. His designs follow his theory of "architectural behavior," which takes into account how a building evolves, how it touches and affects people, and how it fits into its setting.

Makino-Hut connection is illustrative.

Hut, at that time 35 and the youngest person ever hired onto the IAS staff, had invited a prominent astrophysicist from Tokyo to a 1993 conference on "Dense Stellar Systems" at the Institute for Theoretical Physics at Santa Barbara.

At the last minute, the man became ill and called Hut to ask if his student could take his place. Hut asked about the replacement and learned that he was only a beginning masters student, 20 years old, not terribly proficient in English, and had never spoken before an audience before. He didn't sound promising.

And when Makino got up to speak, he was softspoken, accented, and, not surprisingly, uneasy. Few people understood what he said. But Hut and at least one other specialist in their field realized that young Makino had just solved an important problem in the simulation of stellar dynamics. Years later, Hut still describes the day with delight.

A decades-long collaboration began between Hut

and the precocious student. And so, when Makino considered in 2012 who might be an attractive, international addition to the ELSI-proposal team at Tokyo Tech, he had no doubt that it was Piet Hut.

"He is a famous scientist and has very wide connections in many fields," Makino says. "He started in physics and astronomy but had shifted more to philosophical subjects and math. He brought to us what nobody else could bring."

Hut's philosophical side would be particularly important both because it would help broaden the proposal and also, Makino said, because the originof-life question still didn't really have a hard science approach that scientists could agree on.

It should come as no surprise that the then-existing proposal to WPI played to the hard-science strengths of Tokyo Tech in the earth and planetary sciences,

where staffing is generous and expertise deep. Planet formation, geochemistry, and especially research into the Earth's deep mantle and core were fields where the school had an impressive research track record.

But the proposal was less robust on the bioscience side of the equation, as were two previous Tokyo Tech proposals that had failed to be selected several

years before. That weakness was worrisome to Hut in particular.

Something of a wizard at connecting people and organizing scientific efforts, Hut reached out to someone he had never met but who seemed like a perfect addition to the ELSI proposal – Harvard Nobel Laureate Jack Szostak. While Szostak had won his Nobel for work in medicine, he had changed fields soon after and embraced origins-oflife research, where he quickly became a star.

Hut says it must have been his IAS pedigree that moved Szostak to get back to him right away. They met and dined with Harvard early-Earth expert Andrew Knoll (who knew some of Hut's interdisciplinary



work on palaeontology), and Szostak became part of the ELSI team and its proposal. Nobel Prize winners are often described as demigods in Japan, and it seems reasonable to assume that Szostak's participation helped put ELSI over the top to win the \$100 million grant.

But even Szostak's presence might not have been enough without the strong support of Tokyo Tech's President, Yoshinao Mishima. A material-sciences expert, he had just been named to a five-year term as president, and he was clearly proud to tell me later that his first formal responsibility as president was to go before the WPI selection board and make the case for ELSI.

Presidents of universities vying for WPI funding are naturally supporters of those programs. But for Mishima it was something more. The changes that the WPI had set as priorities

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- internationalizing, innovating, and breaking out of some of the more hidebound ways of Japanese academic science – were among the primary and most deeply felt goals that he brought to his new job at Tokyo Tech.

Mishima had spent some years as a doctoral student at the University of California, Berkeley, and he knew

about and very much appreciated the more freewheeling, interdisciplinary American and European way of conducting science. So it was both auspicious and fitting that his first formal task was to argue ELSI's case.

"My address to the (WPI) program committee was literally the first thing I did formally as president," Mishima said recently. "And I was very glad to do it because I was 100 percent behind the idea."

The selection was made in October, 2012.

Getting approval and substantial funding to set up an institute is difficult but straightforward. Actually setting one up – collecting the scientists, creating a culture, and building a community -- is quite another thing.

ELSI began in the bottom floors

Jun Makino

of a distant building on the Tokyo Tech campus, with no labs, no meeting place other than a small lunchroom, and no clear path forward to implement their vision.

Vice Director John Hernlund - a deep-Earth modeler

who had worked with Hirose before and was, with his wife, Christine Houser, among the first international hires at ELSI - remembers his crampedofficeinaroomshared with mainframe computers. To get any work done, he brought large earphones to keep the noise out. He also remembers sneaking in some chairs from the lobby of the Earth & Planetary Sciences department building so that visitors and colleagues could sit down.

Almost all the principal investigators were earth scientists (as opposed to life scientists) and almost all were Japanese. The same was true of the younger research scientists.

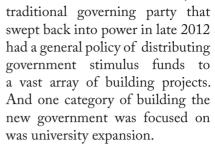
Complicating the situation was that the world's habit of always changing also operated in Japanese politics and government. The WPI was started under the longruling Liberal Democratic Party, but it lost control of the government to the Democratic Party in 2009. After an unstable three years in government, the Democratic Party of Japan was defeated in December, 2012 and the Liberal Democratic Party of Japan took power again.

December 2012 also happened to be when ELSI was selected as a WPI winner, so it started out in a time of some government disarray. It wasn't until late 2013 that the government's funding really began to flow, in effect cutting ELSI's ten years of support to just a bit over nine years. It was, by all accounts, a rocky beginning.

Origins stories generally start with chaotic conditions, and order is achieved, necessary structures are created, and a positive complexity grows later. In a manner that ELSI computer simulators now explore, advantage can flow in surprising ways from the destabilized situation.

The construction of the ELSI building is a prime example of that unpredicted and unpredictable turnaround from disadvantage to advantage.

As Tokyo Tech President Mishima was well aware, the



Mishima could have pushed for any number of new buildings on the Tokyo Tech campus, but he selected the fledgling (and unhoused) ELSI effort as where he wanted to put what turned out to be some \$25 million. ELSI's WPI money was supposed to pay for the construction of its new quarters, but the ELSI building was financed instead by the government's university-expansion funding. The result was that ELSI could spend all its money hiring scientists, inviting guests, and setting up seminars, workshops, and symposia.

What a building it turned out to be! At the request of institute leaders, their new home featured a spacious and central twostory agora, a traditional Greek gathering place for learning and discussion. Several of the agora walls feature red chalkboards at which scientists commonly meet and chalk dust flies. Comfortable chairs abound.

The building includes a tatami room and meeting rooms large and

small are also everywhere. And beneath the agora and now in a second ELSI building are labs for the scientists to do their work, although a growing cohort of researchers rely instead on their computers, often because they work with computer simulations.

Though the distinctive agora has an iconic western pedigree and feel, the idea for it came initially from Shigeru Ida, the planetary formation specialist and one of the original scientists to propose the idea of ELSI. Ida is a theorist, and he says he wanted to have a place for other theorists to meet and discuss ideas, a place somewhat parallel to what the lab scientists have two flights below.



As explained by Vice-Director Ida, the ELSI building was designed with an ancient Japanese palace or grand house in mind.

Ida had seen similar spaces at the University of Cambridge and the University of California, Santa Barbara, so visualizing the kind of central gathering place that would help bring ELSI scientists and staff together came easily to him. And as the amiable Ida says with visible satisfaction, it has clearly served that purpose.

ELSI won its WPI grant in late 2012, but it wasn't until late 2015 that the scientists could come out of their farflung offices and inhabit the clean lines of the elegant home built specifically for them. The institute's new building had laboratories to offer scientists and so could begin to draw more researchers on the biological and chemical sides of the origin of life question.

Many who have been at ELSI since the early years speak of the time lost putting the institute on its feet. Given that the WPI ten-year-deadline clock is always ticking, that concern is hardly surprising.

It is undoubtedly true, however, that five years after that rocky beginning, ELSI is on the path to becoming the world-class institute that the WPI banked on. It has a wide range of researchers from Japan and around the world – 60 full time and hundreds more with a variety of formal and informal connections who produce often pioneering and provocative work.

Nobody expects quick answers to the questions surrounding how the Earth became ready to support life and then how life began. But now scores of scientists at ELSI are focused on these difficult questions.

But perhaps we are getting ahead of this story.



PHOTO COURTESY OF TOKYO INSTITUTE OF TECHNOLOGY

### President Mishima and ELSI

Tokyo Institute of Technology President Yoshinao Mishima is not someone for whom an international origins of Earth and origins of life institute would, at first glance, appear to be a high priority.

His background is in materials science, perhaps the most applied of sciences. His last published article before becoming president was titled "Electron Tokyo Institute of Diffraction Study on the Crystal Structure of a Technology President Ternary Intermetallic Compound Co<sub>3</sub>AIC<sub>x</sub>." <sup>Yoshinao Mishima has been a strong advocate for the Earth-Life Science Institute</sup> practical use, but that is hardly the point of the throughout his six-year enterprise.

But Mishima does have a strong background

in interdisciplinary science - running two non-traditional institutes at Toyko Tech before becoming president. He was also dean of the Interdisciplinary Graduate School of Science and Engineering.

What's more, he received his PhD at the University of California, Berkeley, and so was exposed early to less traditional approaches to education.

"The level of science in Japan is very high, especially in

areas like material science, physics, mathematics, robotics and information science," he recently said.

"Since 100 years ago, the Japanese education system has been pretty good and has made space for researchers to concentrate in their own field. The idea was always to go deeper and deeper into things; narrow but deep."

> "However, once we start talking about scientific fusion or interdisciplinary work, Japanese scientists have not done so well. They try to live in their own fields. The idea, for instance, of combining earth sciences, chemistry and biology to make astrobiology is very hard for Japanese to get comfortable with."

"But that is a very important direction that world-class science is going - becoming more interdisciplinary. In the 21st century, this is the kind of scientific foundation we need."

This thinking was central to his drive to secure additional funds for the ELSI building, and to prepare the ground for continued Tokyo Tech support for ELSI after the WPI funds run out in 2022.

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tenure term.

ELSI vice director John Hernlund, who has worked a great deal with Mishima, said that the president was essential to the survival and growth of ELSI.

"The fact is that he shepherded us through the rough times, the starting phase. He was amazing to us. There were so many times when things could have fallen fully apart and he saved us."

And ELSI director Kei Hirose has been in on scores of meetings with Mishima and agrees that the president has been a constant and forceful ally.

"Mishima-san had a very good experience when he was a doctoral student at (the University of California,) Berkeley, and he has been trying to make Tokyo Tech similar to Berkeley," Hirose said. "He believes that globalization must occur at Tokyo Tech otherwise our presence in the world becomes less and less." Of the many signs of Mishima's support for ELSI, none is perhaps as striking as his (kept) promise to hire ELSI investigators as full-time Tokyo Tech tenured staff, and to similarly support a small cadre of less senior researchers.

As the population shrinks in Japan as a whole and on Japanese campuses, tenured positions are especially precious and usually controlled by the deans of various departments. But Mishima was willing and able to wrest away those four slots (with more to come) and to award them to ELSI scientists.

"This is extraordinary," said McGlynn, who is one of those ELSI researchers now tenured at Tokyo Tech. "This was the work of Mishima and it is a very, very strong indication of how much he wants ELSI to succeed and last."



An essential component of the WPI program is to make administration of the institutes less hierarchical and less bureaucratic than what is the norm at other Japanese institutes and schools. A related WPI goal is to expose the host universities to different ways of running their administrations, and as a result hopefully become more agile and international as well. So some of the administrative staff members are hired by ELSI and some are from Tokyo Tech, cycling through for several years.

Those responsible for the daily working of these



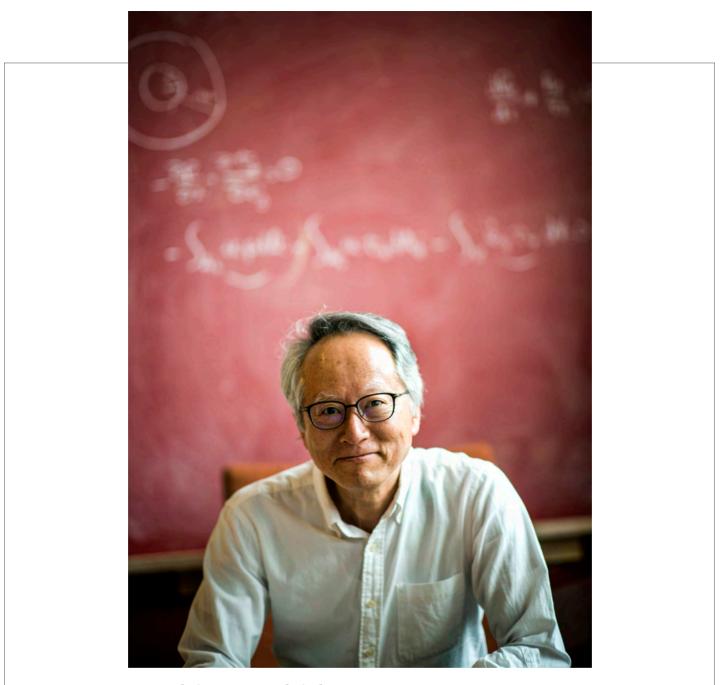
policies at ELSI are, from top left, Reika Sakai, Taneaki Matsumoto, Tadashi Sakurai and Rie Malvicino; from bottom left are Sachiko Ishiwata, Kenichi Nishimura, and Saeko Endo (who has rotated back to Tokyo Tech and was replaced by Yoko Kadono, (left).



Reika Sakai is ELSI's current "Life Officer," with the innovative job of working with foreign scientists to unravel snags large and small, to navigate Japan's notoriously complex bureaucracies, and to help ELSI members find housing, financial services and medical care. She also teaches introductory classes in Japanese, along with sharing insights on navigating social and cultural waters. Her (and ELSI's) goal: to allow scientists from abroad to settle in and start their work as soon as possible.



Akiyoshi Nouda, computer & network manager, singlehandedly runs the day-today information technology infrastructure at ELSI. For those in the know, Nouda is admired as much for his culinary skills as for his technical ones.



### Naohiro Yoshida . Biochemist

Naohiro Yoshida has been involved with ELSI since before there was an ELSI, helping to formulate the proposal to the WPI. A biogeochemist at the Tokyo Institute of Technology who specializes in global environmental analyses using isotopic substituted molecules, he said that the other ELSI founders "encouraged me a lot to start collaborations with biologists related to the early Earth's environment and the origin of life."

An avid collaborator, he has worked with many ELSI scientists and has brought several of his former students to the institute – including biogeochemist Mayuko Nakagawa and analytic chemist Alexis Gilbert. Many consider him a statesman for ELSI, connecting the institute with other organizations such as the Geochemical Society of Japan, the European Association of Geochemistry and the Geochemical Society. He also oversees a major Japan Society for the Promotion of Science grant that will run for the next five years.



#### 5.An Institute Needs Scientists

The earliest days of ELSI made a strong impression on planetary scientist Hidenori Genda, as they had on John Hernlund. How could they not?

Hired early on as a research scientist with a specialty in planet formation, he spent his first two weeks sharing an office with director Hirose. And, as with Hernlund's,

that office was also in a computer room that was quite cold and always noisy. Hirose moved out when another office was located for him, but Genda stayed put for some time.

Having been encouraged by Ida, Genda had left a teaching job at the prestigious University of Tokyo to join ELSI. He was attracted, he says, by ELSI's emphasis on research and, he has to admit, the freedom from teaching students.

But doing research in such a cold and noisy room was hardly ideal.

What's more, the fledgling ELSI team had no real meeting room, only rudimentary labs and clearly limited office space.

A transnational research community: Joseph Moran from the University of Strasbourg, a long-term visitor through the ELSI Origins Network program, describes recent advances in understanding the roles of metals in near-surface organic geochemistry. Still, the team did have a substantial budget, and the funds came in the second half of the Japanese budget year. That meant the money had to be spent expeditiously or it would be lost. Soon the all-important process of putting together a scientific community from scratch was in a higher gear.

Recruitment depends on many potential attractions, but the presence of researchers who have produced important results perhaps looms largest. ELSI started out with several scientists in that category.

Hirose, for instance, is a prominent high-pressure scientist, someone who has made significant contributions to the field of deep earth and, especially, core science. In his lab, he and his students and colleagues simulate conditions deep inside the Earth's mantle and core – up to 2,000 miles below the surface – to see how minerals and rocks known to be present there behave under different pressures, temperatures, and with different chemical compositions.

He made a lasting mark in the field by discovering what material was responsible for a mysterious layer at



JON HERNLUND - VICE DIRECTOR ELSI

the base of the mantle, just outside the core, known only from seismological signatures. He called it post-perovskite, a unique and previously unsuspected phase of MgSiO<sub>3</sub>. The discovery, made using specially designed diamond anvil cells, opened the door to explain other anomalies in measurements of the core and lower mantle.

So, when Hirose recruits you, it is an outreach that scientists – especially young scientists – are inclined to take seriously. One of his successes was bringing aboard Tomohiro Usui, who had worked for NASA in the United States and was involved with several major Japanese Space Agency (JAXA) missions. Hirose felt that it was important to make that ELSI-to-JAXA connection, just as connections were being made through staffing and appointments with NASA and with other Japanese organizations such as the scientifically influential Japan Agency for Marine-Earth Science and Technology (JAMSTEC).

Another attractor was Ida, a longtime and respected Tokyo Tech planet formation theorist and professor. For five years he had been a Tokyo Tech leader of another Japanese government initiative that was a precursor of sorts to the WPI. Called the Global Centers Of Excellence (COE), the program encouraged interdisciplinary study but was designed to prepare Japanese student scientists for work on the global stage rather than to bring them together with international scientists.

As Ida explains it, the focus of the COE group he led was on the evolution of life on Earth but with a heavy emphasis on planet formation, earth science, geology, and, in the end, planetary evolution. The program was funded for five years, and at the end of it he, Hirose, and Tokyo Tech geologist Shigenori Maruyama wanted to continue its multidisciplinary, international approach. Thus the ELSI proposal to study the origins of Earth and of life on Earth together, a relatively novel approach.

But they soon hit the inevitable obstacle.

"We had many people working on the surface of the early Earth, the primordial atmosphere, and the deep interior, and we made clear that we saw the formation of the early Earth as central to the origin of life question," Ida recalls. "But after we were selected by the WPI, we looked around and it was obvious to see that we had nobody working actually on the origins of life. And really, we didn't know how to access them because that wasn't our field."

The primary biology and chemistry recruiting abroad fell then to Hut, who actually was not an origin of life scientist but quickly became knowledgeable; geochemist Henderson "Jim" Cleaves, who has a deep background in prebiotic Earth science and with the originsof-life community; and geodynamicist Hernlund, who had developed a professional and personal friendship with Hirose over the years. Indeed, Hirose had tried to recruit Hernlund and his deep-Earth seismologist wife, Christine Houser, to Tokyo Tech even before there was an ELSI.

Formally, though, it was Hernlund who had the job of recruiting the international side of the science staff, especially young scientists eager for an opportunity and an adventure.

Hernlund has traveled the globe for more than three

years now talking to potential recruits at conferences such as the Astrobiology Science Conference and the yearly meeting of the American Geophysical Union. He was manning an ELSI table at the 2017 Ab-Sci-Con meeting in Mesa, Arizona.

Especially in the early years, the recruiting of international scientists was not an easy task. ELSI was hardly well known, it was in a country with a limited history of origin-of-life science, and initially it had little to show in terms of a campus. There was also then – and still is now – the lingering issue of the future of ELSI after the WPI funds stop flowing.

For Hernlund, who is a frequent collaborator with Hirose, the last issue was the most important. He couldn't help but feel responsible to the recruits he asked to pack up and move halfway around the world to work at an institute that was an early work in progress. As vice-director of ELSI, he has fought long and hard to ensure that the institute will be a permanent presence at Tokyo Tech. That permanence is more assured now, but it wasn't when he began his recruiting.

"I knew that we could not ask people to make the kinds of sacrifices that would be necessary to make ELSI a success if it were only a temporary project," he said. "Why would anyone make such a monumental effort for something that would be closed down after only a few years? When I explained this situation to President Mishima, he completely understood and responded by committing to make ELSI a permanent institute after the WPI."

To make a big splash, Hernlund and others decided to put out an advertisement for 20 research scientist positions. "To get the word out, we needed to also advertise the offering broadly, in high-profile publications and job-listing services, many of which publicize listings for a fee.

"We soon ran into trouble paying for these fees from our WPI budget since the administrative staff could not find any precedent to justify the expenditure. In fact, they said that no recruitment in the history of Tokyo Tech had ever paid to place an advertisement for a position opening in an international listing service."

On a tight schedule to get the ads out and with no available funds to pay for them, Piet Hut offered to put all of the charges, totaling around \$50,000 USD, on his credit card. He would wait to be reimbursed because reimbursements could not be made until the ads went up and the numerous documentations were submitted. That process took many months.

The recruiting ads did their job, letting a particular kind of scientist know what ELSI was trying to do.

For instance, Matthieu Laneuville, who was about to be awarded his PhD from the Institute de Physique du Globe de Paris (the Paris Institute of Earth Physics), saw an ELSI job notice for a research scientist in an online list. His background was in physics and geodynamics. "It looked quite unique, so I contacted John (Hernlund) and Ida-san to ask if my profile would be interesting to them. Even if I didn't know them, they encouraged me to apply, which I did.

"I hadn't heard of any other postdoc opportunity at the time that sounded really exciting to me both on the professional and personal level. That one caught my attention because I knew that, even if something went wrong from the research side, it would be an incredible human adventure."

He was flown out to Tokyo for a week of interviews and of getting to know the boisterous city and its overwhelmingly gracious people. He got to know ELSI and the city a bit, while he experienced the Hernlund family's warm hospitality.

"I was 26 when I moved to Japan in April 2014 and actually turned 27 a couple of weeks later. They brought a cake for the coffee break, which was a nice touch.

"Scientifically speaking, the bridge building aspect of ELSI really resonated with me. I was not trained as a geo person, so I did not have some of the deeply rooted feeling that some people have about what question belongs to what field.

"I actually seek this kind of experience because it allows me to not take anything for granted -- by that I mean that each culture has its own rules and living abroad helps me recognize them as such. I had no particular ties with Japan



MATTHIEU LANEUVILLE AND JULIEN FORIEL

before. But I did want to spend time living in a country with a different cultural heritage than western."

Laneuville's contract was extended after two years in Tokyo, and he will be at ELSI for at least another two years as a project assistant professor. He has been productive in his field of the formation and evolution of planets and moons as well as in his longer-term goal – as he described it – of integrating "the geophysical consequences of life in the geodynamic evolution of the Earth, considering both aspects as part of the same system."

In all, there are more than 70 scientists and lab technicians now at ELSI, and slightly under half are internationals.

Hidenori Genda, last seen shivering in an early ELSI "office," remains at ELSI and has come into his own as a researcher and modeler. His field is giant impacts and the role they play in planet formation, but his focus has long been on the much-debated question of how Earth came to

have water. He has published extensively on that and other subjects and recently won the prestigious 2017 Goldschmidt Geochemical Journal award and gave a talk in Paris on his paper, "Origin of Earth's Oceans: An Assessment of the Total Amount, History and Supply of Water."

ELSI has been a good fit for him – allowing him to dig deep into his chosen fields and to write prolifically. "I would gladly stay at ELSI for as long as there is ELSI," he says.

He also has returned to working with young scientists but as their postdoc adviser and mentor rather than as a teacher.

One of his postdocs is Keiko Hamano, who came to ELSI on a Japan Society for the Promotion of Science fellowship to work with him on how and why planets have very different atmospheres. This effort led her into fields such as simulating the aftershocks of planetary giant impacts, a Genda specialty; the magma oceans that result from those impacts, on which she has published in the journal Nature; and the formation of new atmospheres after the impacts is a primary focus now.

Her work is not origins-of-life work per se, but it is origins-of-Earth work that leads to a better understanding of the processes that made Earth ready for the emergence of life. Given that the search for habitable planets beyond Earth often deals with similar issues of planet and atmosphere formation, her work is cutting edge and inherently multidisciplinary. It's also very demanding. But what she focuses on is "the free atmosphere of ELSI," which allows her to pursue her challenging scientific interests in myriad ways.

A major theme of origins-of-life work is the cycling of elements and compounds around and through the planet's interior, surface, and atmosphere. The cycling of carbon, nitrogen, water, and much more supports a wide variety of states of environmental balancing needed for successful life.

In a different kind of virtuous cycle, veteran Ida attracting established but less-than-satisfied Genda and Genda some years later attracting talented young scientists like Hamano, an institute can similarly build an increasingly complex and self-sustaining vitality.



Hidenori Genda (*left*) and Ryuki Hyodo (*right*)





#### Marine Lasbleis . Planetary Scientist

Part of the contingent from France at ELSI, Lasbleis specializes in deep-Earth, high-pressure science and the effort to better understand the formation and behavior of the Earth's inner mantle and core. She is currently collaborating on a paper with director Hirose and vice-director Hernlund on "Growth of the inner core by snow fall," but this is not  $H_2O$  snow falling.

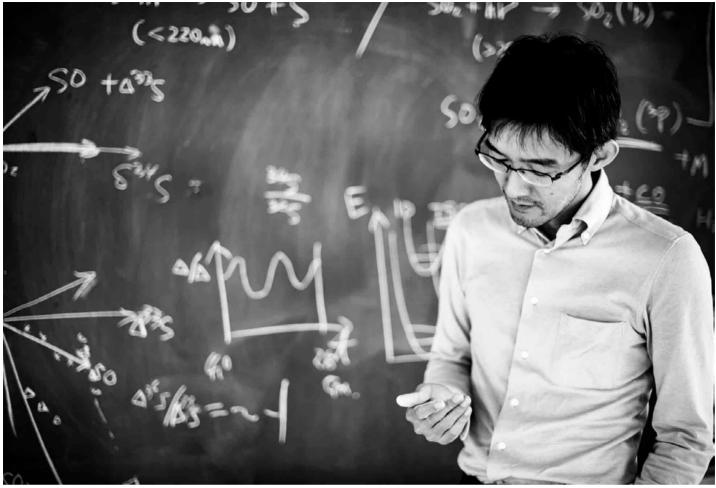
She earned her doctorate at the Laboratoire de Géologie de Lyon, France in 2014 and was a Japan Society for the Promotion of Science fellow for two years after that, working with Hirose at ELSI. She currently studying the effect of melts and solids on the dynamics of deep Earth, with an emphasis on ramifications for Earth's inner core formation and for the evolution of the early magma ocean.

#### Albert Fahrenbach. Organic Chemist

Fahrenbach is an organic chemist and was awarded his doctorate from Northwestern University in 2013. He subsequently applied for a position at Nobel Laureate Jack Szostak's origin-of-life lab at Harvard University. Szostak is a principal investigator at ELSI and a regular visitor, and periodically encourages his post docs to spend time and do research at ELSI. Fahrenbach was interested in the opportunity and arrived at ELSI in 2013.

Fahrenbach has now been off and on at ELSI for four years and recently became an associate principal investigator. His research involves prebiotic and nonenzymatic replication, and he has become interested in the role of radiolysis – the molecular decomposition of a substance via radiation – as the chemical building blocks of life were forming before there was life on Earth.





Yuichiro Ueno

#### 6.ELSI Needs Focus and Scientific Leaders

Once the institute was fully up and running, the question of how precisely to proceed scientifically at ELSI became more pressing. While individual scientists were doing important work and getting it published, the topics were often separate from what other colleagues might be working on. ELSI needed a master plan for its science.

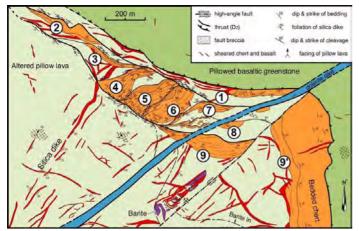
Basically the central question for ELSI is how Earth transitioned from geochemistry to biochemistry. But that is such a broad question and it takes in scores of fields. The challenge was: how could ELSI become unique in this sprawling field?

After a series of guided meetings and lots of debate – the unavoidable question of going deep versus going broad – a number of core areas were identified: planetary formation and deep-Earth dynamics, the emerging field of "messy" chemistry, the magma ocean of early Earth and its influences on the atmosphere, evolution on a molecular level, and geometabolism and artificial life. Some researchers worked in different but related fields, and they would continue doing that. All the core areas have seen progress over the years, some more and some less. But tracing their arcs would only give a somewhat bureaucratic view of what the institute is accomplishing. Better that we focus on one particular scientist who, by many accounts, reflects much of what ELSI is trying to do.

That scientist is Yuichiro Ueno, and his evolution has been from geologist to geochemist to biogeochemist. He says ELSI encouraged and in some ways made possible his ever-broadening reach.

Ueno was trained as a geologist and has been on many, many field trips to important geological – and often ancient-Earth – sites. An early and major success came from his time in the Pilbara section of northwest Australia, where some of the oldest microbial life signatures on Earth have been found.

Ueno went as part of a Tokyo Tech-based team once a year for seven years, often spending several months at the site, some 70 miles from the nearest town. In time Ueno and others discovered the fossil remains of a hydrothermal vent in the rock, and they took many samples.



A geological map drawn by Yuichiro Ueno of the North Pole area of the Pilbara craton in western Australia. Some of the oldest rocks in the world have been found in the region, as was the specimen (*below*) that Ueno determined to be a 3.5 billion year old inclusion holding fluid and methane gas.

One of the samples led to his breakthrough discovery: a gas bubble inclusion in a rock that was found to contain the gas methane. And using pioneering techniques, he was able to determine that the methane was the product of life, a methane-eating and methane-producing microbe. The inclusion was dated to 3.5 billion years ago, and in 2006 his discovery made quite a splash in the journal Nature.

He was hired as a teacher by his alma mater, Tokyo Tech, and he continued his research in both geology and



increasingly in geochemistry. That research focused on analyzing and uncovering isotopic signatures largely of sulfur in rocks, and the research would help him understand the makeup of the atmosphere at the time the rocks were formed.

As an up-and-coming researcher and teacher, he was asked to help write the WPI proposal that ultimately was accepted. And so he has been a force at ELSI since 2012.

During that time, he says, he has entered as many as 10 collaborations with international ELSI researchers, has been a frequent participant at informal agora discussions and in more formal workshops, and has gradually moved more into the origin of life field.

As he explained, "After those discussion at ELSI, I wanted to find a way to extend my views, to study more important and fundamental questions.

"I came to understand with them that early Earth was like another planet than ours today, a variation on different kinds of habitable or inhabited planets. That kind of thinking – that Earth was only one example of a bioplanet – came from my time at ELSI. That allowed me to think differently about my research."

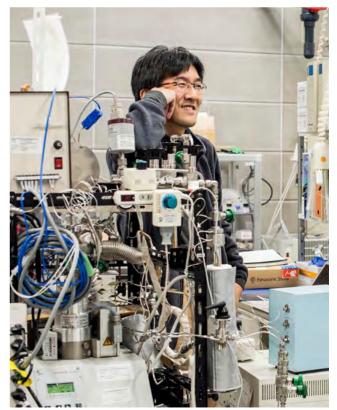
Now Ueno focuses on early-Earth interactions between the surface and the atmosphere. While he still spends much of his time experimenting in the lab (and teaching), he has moved additionally into the realm of theory.

This is most evident in his hypothesis that the early Earth contained large amounts of carbon monoxide (CO.) Known best as a gas that will asphyxiate many living creatures, its presence in the early atmosphere before the advent of life has not been well studied. But Ueno now thinks that it is key to understanding the atmosphere that made life possible.

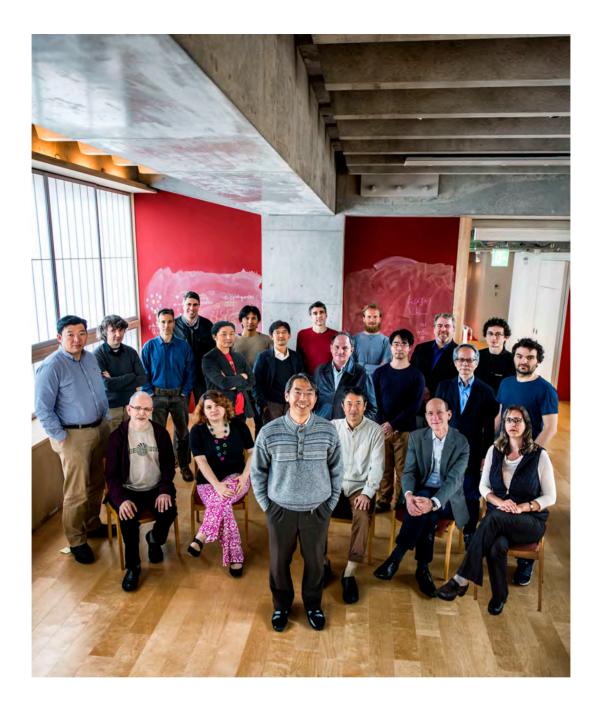
It was during his discussions with other scientists at ELSI that Ueno more fully grasped that carbon monoxide is a very useful molecule to synthesize organic compounds and that early microbes would thrive on a carbon monoxide diet. That new understanding got him interested in pursuing the logic of the compound further in part because a CO atmosphere would help explain some of the anomalies he found in his earlier work on sulfur isotope ratios.

Now he presents regularly around the world about a possible carbon monoxide early atmosphere on Earth and possibly on Mars. He even has some NASA scientists re-examining data from the Curiosity rover on Mars to see if isotopic signatures might be present that would suggest an ancient carbon monoxide atmosphere.

Did his time and interactions at ELSI make possible this potentially pioneering turn in his research? Ueno's reply is "Yes, of course."



Ueno became a full professor at Tokyo Tech this year as well as a principal investigator at ELSI.



#### A Gathering of Scientists in Images

The enduring figure of a solitary Galileo or Einstein or Hubble hard at work to solve a scientific problem lives on in the public imagination, but most of science has long since changed course. The goal at ELSI, and many other research centers, is to pro-actively bring together researchers from many fields to see what sparks might fly, what surprises may jump out.

Still, scientists grow up in particular disciplines and often need to dig deep in their specialized fields before they can also be effective and useful on a multi-disciplinary team. For instance, Ryuhei Nakamura is a specialist in electrochemistry and he came to ELSI as a principal investigator to work with microbial ecologist, and also principal investigator, Shawn McGlynn. Each has a unique knowledge that the other needs to more effectively push forward their now shared scientific enterprise.

Some ELSI collaborations are formal – such as the CYCLOP (Cycles and Life on Planets) group, which is working on ways to better categorize types of exoplanets. Others are formal but more limited, as when scientists from different fields collaborate on a project and paper. And then there are the collaborations waiting to gel.

So here, then, are some of the scientists of ELSI and their fields of research – their take-off points for the deep dives, the collaborations and possibly the breakthroughs to come. 1. Masafumi Kameya Microbial Metabolism

2. Alexis Gilbert Analytical chemistry





3. Ramon Brasser Planetary Science

4. Junko Kominami Planetary Formation







6. Ryuhei Nakamura





5.





2.

1. Julien Foriel Isotope Geochemistry

- 2. Takayuki Saitoh Galaxy Formation
- 3. Hiroyuki Kurokawa Planetary Science
- 4. Christine Houser Solid Earth Geophysics
- 5. Joe Kirschvink Geobiology





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3.





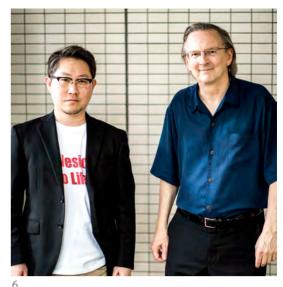


7. Kyoko Akiyama EON Project Manager

8. Chihiro Furumizu University Research Administrator - URA

#### 9. Chisato Saito

University Research Administrator - URA





7.



<sup>11</sup>. Olaf Witkowski Artificial Life & Complex Systems

12. George Helffrich Solid Earth Geophysics









### 7.The Culture of ELSI

It was 3 o'clock and one of the members of the administrative staff at ELSI was walking the halls ringing a bell. As everyone knew, that meant it was teatime.

From the start, the organizers of ELSI set out to create an environment where scientists young and old could get together informally every day. The idea was that bringing together an often-changing cohort of scientists from different though related fields could and should cause creative sparks to fly and scientific collaborations to be born.

So at one end of the agora is a long wooden bar with stools around it, and at mid-afternoon it is often filled with the talk and laughter of scientists. (A similar setup exists in the second ELSI building as well.)

Collaborations among scientists in the same or related fields are hardly unusual. Rather, they are the norm.

But collaborations between scientists from quite diverse fields are not common because the issues to research and the ways to study them are often too far a reach, or so it might seem.

However, those conversations around the agora tea bar, which often continued around the ELSI compound and into

the surrounding Ookayama neighborhood of Tokyo, had a way of bringing together scientists, particularly younger ones, who suddenly found an unexpected commonality with a colleague.

Research scientist Jennifer Hoyal Cuthill, for instance, got into a conversation with Nicholas Guttenberg, a gifted and passionate specialist in computer simulations and what is generally called "machine learning." From their conversations came the rather unexpected conclusion that Hoyal Cuthill's palaeobiology data could seemingly benefit from some machine learning.

"Collaborations don't just happen by accident," she says. "There's a real effort to encourage these discussions, to highlight their importance. I think most of us have found great benefit from that effort."

While ELSI has taken some definite steps into the unknown as it has gelled, there is a pedigree of sorts to its culture and style. Hardly surprising, they come from the experiences of some of ELSI's leaders.

An emphasis on interdisciplinary work, a focus on forming an institutional community that might achieve

what separate individuals might not, daily teatimes to gather and exchange ideas and laughs – that is the Institute for Advanced Study model and, particularly, Hut's model.

The idea of a stand-alone institute where scientists do only research – and mostly basic (as opposed to applied) research at that – was made real more than a century ago at



Hanako Ricciardi is ELSI's Coordinator of International Initiatives. At ease in a variety of cultures, she plays many linking roles within ELSI and with other institutions. In addition, she is a practiced problem spotter and solver.

the Carnegie Institution for Science in Washington, D.C., and Pasadena, California. Many key people at ELSI, both Japanese and internationals, have spent time at the Carnegie hilltop campus in Washington.

And Ida and Hirose had both been active leaders in Tokyo Tech's branch of the government's earlier Global Centers of Excellence initiative, and both had felt nourished by the multidisciplinary and international possibilities it encouraged.

All these institutional cultures have brought both strengths and pitfalls to ELSI, and all are indelibly stamped into its DNA.

There is also a distinctly Japanese way of doing things that has indisputably played a role in creating the cooperative, respectful feel of the place. That culture begins with the ELSI building. It's nothing glaring, but there are many subtle touches. The shoji window coverings and the concrete struts on the ceilings of offices and most meetings rooms reflect traditional Japanese interiors. You hardly notice these things until they're pointed out; they are physical manifestations of the Japanese origin of the institute.

But while many Japanese-international collaborations and friendships have blossomed at ELSI, it would be naive to think that five years into the experiment the melding of Japanese and international researchers has been or could be wholly successful. Even though most of the internationals are Japanophiles and most of their Japanese colleagues are welcoming.

The obstacles are many, and members of each group tend to clump together, especially in their nonscientific lives. This habit continues not because of any animosity but rather because of cultural differences, because of personal comfort, because of degrees of being embedded in the culture, and because of language.

Hanako Nakano Ricciardi is the Coordinator of International Initiatives at ELSI. Her job is broadly to implement policies that help internationalize daily life at the institute while acting as a watchdog for problems that might emerge. That coordination often means working to explain Japanese ways to confused and sometimes irritated internationals and international, mostly western, ways to confused and sometimes irritated Japanese.

Born in Taiwan to Japanese parents and educated in part in the United States, she feels comfortable in all of her three cultures. Moreover, her husband is American, they live with their son in the international port city of Yokohama, and her parents remain part time in Taiwan. So, living in a multicultural world comes naturally to her.

What she sees at ELSI is a lot of people trying to do right by each other but also persistent breakdowns in communication. And a lot of that, she says, is cultural and kept under wraps.

"As a rule, Japanese don't really like to spell things out. And that makes things harder for the non-Japanese," she says. "But when you have an island culture of homogeneity, a lot of things are intuited. You grew up with certain understandings and so you don't have to say things to communicate.

"In the U.S., for example, its multicultural character requires people to be verbal; they have to be to be clear. But here someone might subtly lift an eyebrow or inhale or 'hmmm' in a particular way or not say anything, and other Japanese will know it means a particular thing, or maybe a number of particular things depending on the context.

"But the truth is that you can raise your eyebrow or suck air as much as you want and foreigners might not get what is implied. They won't get that you don't want to do something, let alone the why behind it, unless you explain it. The training for cultural awareness has to come from both sides and we are learning."

In her experience, she says, Japanese culture tends to be more detail oriented than western culture, and that can lead to misunderstanding as well. There is also a heightened Japanese respect for detailed social awareness of others and for adjusting one's behavior accordingly for group harmony.

"When Japanese scientists or administrators come to a meeting, they come prepared for a particular task. But if it then becomes a lot more open-ended, they can get frustrated. They wonder 'Why am I here? What is my role here? What can I deliver?' The Japanese probably would appreciate some direction.

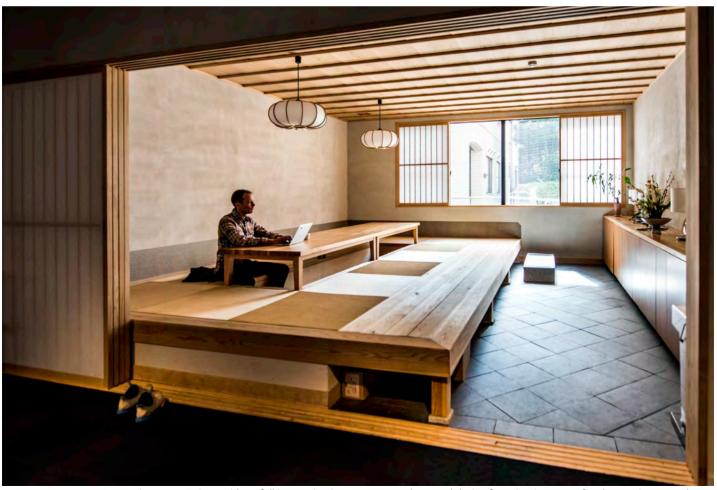
"And meanwhile, the international folks are saying 'hey, let's see where this goes' because that's their training and style, to look for unexpected possibilities."

She says that breakdowns in communication have had some consequences. The ELSI schedule is filled with meetings, some for the staff as a whole, some for smaller groups, and others for ELSI scientists and visiting scientists. Especially at the institutional and multidisciplinary meetings, a substantial majority of attendees are international because Japanese colleagues often stay away. "This can be a language issue; this can be a feeling that they have more-productive things to do," Ricciardi said of the Japanese researchers. "This is a real problem for the institute because their input would be so valuable."

But while bringing together the cultures may be difficult, she says her door is always open, progress is evident, and the effort is definitely worthwhile for all involved. What's more, it is a formal part of the WPI mission.

"We tend to think of reform and internationalization as top down, like mandates that get carried into successful action," she concludes.

"Yes, that is the initial impetus. But to me, what makes internationalization and reform work, really produce results, really stick, is the incremental trust building and communication...Successful internationalization, to me, isn't about Japan being like another model outside of Japan but rather finding and recognizing Japan's strengths and working with that to improve its weaknesses with the inspiration of outside examples."



A tatami room at ELSI provides a full immersion into Japanese culture and design for scientists eager for that setting at work.

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### 8.A Big Grant Brings a Unique New Program

visitors program.

There came a time in the history of ELSI when it became apparent that the institute needed more scientists in the program. They were needed to bring in new ideas, to make the campus itself more vibrant, and to expand the scope of the ongoing science.

And if there was going to be an expansion, it needed to meet one of the WPI program's key priorities for ELSI and the companion institutes – that the institutes should aim to be as international as possible.

Out of this collection of needs and hopes came the idea for the ELSI Origins Network. Of the many unique initiatives underway at ELSI, the network (EON) is among the most pioneering.

In short, the EON program brings in ten early-career, postdoctoral scientists from around the world. They are hired for two years and required to spend six months a year in Japan and the rest of their time at home institutions.

In return, unlike most postdocs, they have no teaching responsibilities; they are free to dive into the research they think is important in the general origins field or in their own related disciplines; and they receive decent compensation and a research budget.

They are from different disciplines, often have some projects they are already working on, and are given great freedom to follow their research into subjects related to the origins of life.

Norman Packard of Protolife leads a wrap-

up discussion at the inaugural ELSI Origins

Network workshop, which set out to draw a

one of the international Origins collaborators

brought to ELSI through the EON long-term

roadmap for Origin of Life research. He is

"There is nothing else like EON in our field, maybe in science," says Jim Cleaves, the director of the program and one of the several ELSI scientists who helped set up the program in 2015.

"Clearly, it attracts people who are independent, who are self-starters, and who aren't afraid of living in another culture. This is quite a challenging program, but the results have been positive from all sides."

Of the ten original EON fellows, he says, all have either finished their postdocs, gotten permanent jobs, or are still in the program. Several other fellows have also been added.

Together, they have been integral building blocks in the formation of the species "ELSI."

The logic of EON, as explained by its founders, is not just to bring more researchers

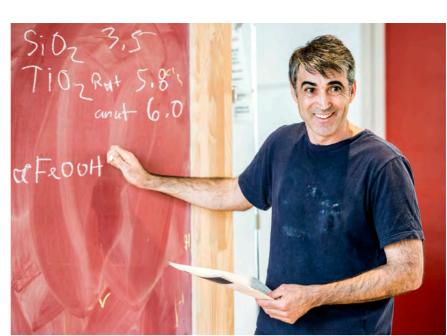
to ELSI but also to create and strengthen contacts and collaborations within the global family of scientists working on origin-of-life issues in the broadest sense.

This is a field that has gone from great international interest, especially after the Miller-Urey experiment of the early 1950s showed that chemical building blocks of life could be made from simple compounds and an electric spark, to a position pretty far from the limelight. Some scientists have always pushed forward on this question, which is among the most important and most difficult that exist, and NASA in particular has funded origin-of-life work. But a hot field it is not.

"The community of people who would consider themselves origin-oflife scientists is pretty small," says Piet Hut. "But the community of scientists who work on issues related to origins of life is much larger. Part of our job has been to make those connections more apparent and then bring the scientists together."

It was Hut, the head of interdisciplinary study at the Institute for Advanced Study at Princeton and an ELSI founding proposer, who made the connections that led to the EON program.

As he tells it, he was at the site of the European Organization for Nuclear Research (CERN) supercollider with other scientists and representatives of the John Templeton Foundation to talk about the facility's immense computing power. The Templeton representatives had a history of



Jim Cleaves, director of EON and one of the founders of the program. supporting origins-of-life and other basic research, and so they asked the assembled scientists if they had projects that might meet the Templeton criteria.

Hut said that nobody but him rose to the challenge, and he proposed what became EON.

"They had found the origin of matter – the Higgs boson – and we are working on the origins of life. I thought it made sense for Templeton, and it certainly did for us."

The \$5.6 million, 33-month grant has been used not only to support the EON fellows but also to invite many of the experts in their respective fields to workshops at ELSI through a short-term visitors program for scientists.

> The goal, again, has been to bring scientists together, to encourage them to collaborate, and then to see what happens.

"Many papers have been published and many more are in the works from the EON fellows," says Cleaves. "But equally important is the way these scientists have taught colleagues around the world about ELSI and our collaborative ways. I would say that for all of them, their experiences here have made them real advocates for ELSI."

This was hardly a simple institutional task. As explained by EON project manager Kyoko Akiyama, the challenges included setting salary payments pegged to a certain U.S. dollar exchange rate which was adjusted every six months; otherwise, the fluctuations could and would be great and the fellows couldn't rely on a set monthly salary. The



EON research scientist Kosuke Fujishima, who has appeared on numerous media programs to talk about astrobiology, said his time at ELSI "was *the* most remarkable and fruitful period of my career being an astrobiologist."



Donato Giovannelli, evolutionary microbiologist and extremophile hunter. His home affiliation was the Rutgers Institute of Earth, Ocean and Atmospheric Science.

EON staff also had to clear the postdoc research spending when they were at their institutions outside of Japan. The complications were endless.

"EON program activities challenged many administrative ways of doing things at Tokyo Tech because of the international character of the network building," Akiyama said. And as a member of the World Premier International Research Center program, one of ELSI's formal tasks was to encourage just those kinds of administrative challenges and changes.

To understand the uniqueness and daring of the project, nothing is more helpful than to meet some of the EON fellows.

They range from a computer scientist working to create virtual chemistry, to an evolutionary microbiologist studying the most ancient extremophiles, to a philosopher exploring the nature of cognition and awareness, and to several researchers studying complex prebiotic chemistry and early biology.

They are – in keeping with core ELSI priorities -- a diverse group in terms of their disciplines, their nationalities, and their backgrounds. Since they have to live in Japan for six months and at a cooperating university elsewhere for six months, they clearly have to be flexible people.

Consider, for instance, Kosuke Fujishima. An astrobiologist by training, he spent time at ELSI working on outside-thetest-tube experiments related to planetary science and geochemistry and on setting up collaborations with researchers at JAXA and the Japan Agency for Marine-Earth Science and Technology (JAMSTEC) to

do scientific groundwork for a potential future sample-return mission to Saturn's intriguing moon, Enceladus.

He also spent six months at the NASA Ames Research Center, where he began experiments on the interplay of proteins and RNA – an important origins-of-life problem. His hypothesis is that proteins and RNA coexisted and coevolved, and he has begun experiments to test it. If the hypothesis is found to be possible, the results could help explain the chicken-and-egg problems of how these crucial biopolymers – which need each other now – could have existed without the other.

Donato Giovannelli is a microbiologist specializing in extremophiles, microbes that thrive in what used to be considered uninhabitable environments. During his EON time his research has taken him to volcanic lakes in Costa Rica and to Iceland to dive for unusual extremophile

samples at underwater geothermal vents. He considers himself lucky to have stumbled into the program.

"At most postdoc programs, you usually go to your lab and work on a project that was already there. Here they say 'send us your best ideas' and then 'here is a budget to study them.' You have complete freedom.

"It's quite a place for the right kind of person. There's big freedom, but with it comes big responsibility in terms of showing you're putting the opportunity to good use."

Jakob Andersen is a specialist in the new field of algorithmic cheminformatics, and his goal is to find the formal "grammars" of chemical systems so they can be described in computational terms. To the extent that is possible, much of the by-rote work of analyzing chemical reactions can then be



Jakob Andersen from the University of Southern Denmark used his EON years at ELSI to further his effort to create a kind of virtual chemistry.

done and done quickly by computer. "This is potentially a way to take boring, error-prone mechanical stuff out of doing mass spec [mass spectroscopy] or isotope results because we can predict the results if we get the rules right.

"Chemistry, of course, is vast and tricky, and so those rules are difficult to determine. And the rules for cyanide chemistry, for instance, are not going to be the same as other elements."

Because Andersen's techniques hold out the possibility of better and faster analysis of chemical reactions, the possibilities for collaborations with ELSI chemists and geochemists were many. But what he found was that it takes substantial amounts of time to make a wet-chemistry and computational-chemistry collaboration work – for scientists to understand what other scientists are really saying – and most of his projects were in a beginning stage.

"I was very good for EON because all I need is a computer, no expensive lab equipment," he says. "But also, I think that maybe with my work we needed more time together."

But some of those collaborations have continued since Andersen left ELSI and they remain promising. What's more, Andersen was one of the organizers of an EON workshop on computational chemistry at ELSI in October. The two dozen people present were the primary researchers in the field, and EON was able to bring them all together for the first time.

The field is still in its beginning phase, but EON Director Cleaves – a longtime prebiotic chemist – says he thinks that in twenty years much of what is now wet chemistry will be done via computer. "This is the future of chemistry, and these are many of the people who will create it."

Workshops like that one are an integral part of the EON program and take place all the time. In two years, Cleaves estimates, 500 visitors have taken part in the workshops and annual symposia, many of them top scientists in their fields.

Another way that the success of an institution or program is assessed both formally and informally is whether fellows land jobs in their highly competitive fields. So far, Cleaves says, more than half of the EON fellows have new positions to look forward to, or are already in them.

Katherine Petrie, for instance, is a biologist interested in the role of parasites as a driving evolutionary force during the origin and early phases of our biosphere. Her EON work has been scientifically productive, and she believes it helped her land a tenure-track teaching job at the University of California, San Diego.

"[EON]'s showing the science you produce but also that you can handle a pretty complicated life on two continents, can manage a research budget, and can work well as part of a larger group. Did those things help me get the job? I have to think they did."

The EON grant is coming to an end in early 2018, and it would definitely be a blow to ELSI if it weren't renewed. It fell to Cleaves to oversee the renewal application, and he got the word in late October that ELSI had not made the Templeton cut for 2018.

"They told me it was nothing about our program but rather that they decided to cap their grants at a level below what EON was requesting. It's surely a disappointment, but the program manager said he expects the larger grants to resume next year. He encouraged us to apply again."

ELSI, like any other organism as it grows ever more complex, has to find new ways to survive and prosper. It has to evolve and adapt. Why should an institute be any different?



Stuart Bartlett, Jakob Andersen, and Piet Hut during a weekly EON Science Chat.



Katherine Petrie, an EON biologist and soon-to-be professor at the University of California, San Diego.



ELSI scientists Tomohiro Mochizuki and Shawn McGlynn collecting specimens on a field trip to Shikine-jima in the fall of 2017.

#### 9. Japanese Islands with Early Earth Secrets

Collecting data – whether through lab experiments, stable isotope analysis, modeling, or observation – is at the center of what scientists do. Here is how that's done at ELSI:

Along the edge of an inlet on a tiny Japanese island in the Philippine Sea can be found – side by side – striking examples of conditions on Earth some 2.4 billion years ago, 1.4 billion years ago, and today.

The first example is a small channel with iron-red, steaming, and largely oxygen-free water filled from below with the bubbling liquid above 71 degrees C(160 F). This setting is Earth as it existed, in a general way, as oxygen was becoming more prevalent some 2.4 billion years ago. Microbes exist, but life is spare at best.

Right next to this ancient scene is a region of greenred water filled with cyanobacteria, the single-cell creatures that helped bring masses of oxygen into our atmosphere and oceans. Locals come to this natural onsen for traditional hot baths, but they have to make their way carefully because the rocky floor is slippery with green mats of the bacteria.

Next to that water there is the water of the Philippine Sea, cool but with spurts of warmth shooting up from below into the cove.

All of this is within an area of about 100 square feet.

It is a unique hydrothermal scene, and one recently studied by two ELSI researchers – microbiologist Shawn McGlynn and ancient virus specialist Tomohiro Mochizuki.

They were taking measurements of temperature, salinity, and more, as well as samples of the hot gas and of microbial life in the iron-red water. Cyanobacterial mats were collected in the greener water, along with other visible microbe worlds.

Their scientific goals are to answer specific questions. Are the bubbles the results of biology or of geochemical processes? What are the isotopic signatures of the gases? What microbes and viruses live in the super-hot sections? And can cyanobacteria and iron co-exist? All the questions are connected, though, within that broader scientific effort to more specifically understand conditions on Earth through the eons and how those conditions can help answer fundamental questions about how life might have begun.

"We really don't know what microbiology looked like 2.5 billion or 1.5 billion years ago," says McGlynn, "But this is a place we can go where we can try to find out. It's a remarkable site for going back in time."

In particular, there are now few natural environments with high levels of dissolved iron like this site has. Yet scientists know from the rock record that there were periods of Earth history when the oceans were similarly filled with iron.

Mochizuki elaborates: "We're trying to figure out what was possible chemically and biologically under certain conditions long ago.

"If you have something happening now at this unusual place – with the oxygen and iron mixing in the hot water to turn it red – then there's a chance that what we find today was there as well billions of years ago."

The Jinata hot springs, as the area is known, is on Shikine-jima Island, one of the farthest out in the Izu chain of islands that starts in Tokyo Bay. More than 100 miles from Tokyo, Shikine-jima is nonetheless part of Tokyo Prefecture.

The Izu islands are all volcanic, created by the underwater movements of the Philippine and Pacific tectonic plates. That plate boundary remains in flux and thus the hot springs and volcanoes. The terrain can be rugged. In English, Jinata translates to something like "Earth hatchet," since the hot spring is at the end of a path through what looks like a large cliff that has been cut through with a hatchet.

Hot springs and underwater thermal vents have loomed large in thinking about origins of life since it became known in recent decades that both generally support abundant life – microbial and larger – and supply nutrients and even energy in the form of electricity from vents and electron transfers from chemical reactions.

And so, not surprisingly, vents are visited and sampled frequently by ELSI scientists. McGlynn was on another hydrothermal vent field trip to Iceland over the summer with, among others, EON fellow Donato Gionovelli and ELSI principal investigator and electrochemist Ryuhei Nakamura.





McGlynn's work is focused on how electrons flow between elements and compounds, a transfer that is now broadly accepted as a basic architecture for all life. With so many compelling flows occurring in such a small space, Jinata is a superb laboratory.

For Mochizuki, the site turned out to be exciting but definitely not a goldmine. That's because his specialty is viruses that live at very high temperatures, and even

the bubbling hot spring in the iron trench measured only about 73 degrees C (163 degrees F.) The viruses he incubates live at temperatures closer to 90 C (194 F), not far from the boiling point.

His goal in studying these high-temperature (hyperthermophilic) viruses is to look back to the possibly earliest days of life forming on Earth using viruses as his navigators.

Since life is thought by some scientists to have begun



Black smoker chimneys spew out water and chemicals as hot as 350 degrees F/ 177 C from deep in the Earth. The fact that many lifeforms thrive in these extreme environments has led some scientists to argue that life began around such hydrothermal vents.

in a super-hot RNA world, Mochizuki wants to look at viruses still living in those conditions today to see what they can tell us. Some of his findings raise questions about the super-hot RNA world hypothesis because neither he nor other researchers have found viruses present on the RNA of primitive denizens of the Archaean kingdom. The logic is complex, but the results are both puzzling and intriguing to Mochizuki.

> So he is always interested in sampling hot springs and thermal vents to collect high temperature viruses and to look for answers and surprises.

Researchers often need to be inventive on field trips, and that was certainly the case at Jinata. When McGlynn first tried to sample the bubbling water source, his hands and feet quickly felt on fire and he had to retreat. And that was while he was wearing protective boots and gloves.

So he and Mochizuki built

a funnel out of a large plastic water bottle, a device that allowed the bubbles to be collected and directed into the sample vial without the gloved hands being so close to the heat. The booted feet, however, remained a problem and the heat just had to be endured.

Near the hot spring source were collections of what appeared to be fine etchings on the bottom of the red channel. These faint designs, McGlynn explained, were the product of a microbe that makes its way along the bottom and deposits lines of processed iron oxide as it goes. So while the elegant designs are not organic, the

creatures that create them surely are.

"Touch the area and the lines go poof," McGlynn said. "That's because they're just the iron oxide, nothing more. Next to us is the water with much less iron and a lot more oxygen, and so there are blooms of [green] cyanobacteria. Touch them and they don't go poof; they stick to your hand because they're alive."

McGlynn also collected (



Filaments at the bottom of the scalding Jinata trench are created by microbes, which build the lines of iron oxide as they pass through. (photo by Marc Kaufman)

some of what he calls the poofs to get the microbes making the unusual etchings. It could be a microbe never identified before.

As a microbiologist, he is of course interested in identifying and classifying microbes. He initially thought the microbes in the iron channel would be anaerobic. But he found that even a tiny amount of oxygen making its way into the springs from the atmosphere made most of the microbes aerobic.

But it is ultimately that flow of electrons that really drives McGlynn. He even dreams of them at night he told me. disappears into space, or else bonds with other elements or compounds.

He likens the process to the workings of a gigantic battery, with the iron core as the cathode and the oxygen in the atmosphere as the anode. Understanding the chemical pathways traveled by the electrons today, he is convinced, will tell a great deal about conditions on the early Earth as well.

The fieldwork on the island illustrates the hit-ormiss nature of those kinds of outings, which might yield results back in the lab and might not. But

McGlynn and Mochizuki did make some immediate and surprising discoveries; the discoveries just didn't involve microbes, electron transfer, or viruses.

During a morning visit to a different hot spring, they came across a team of what turned out to be officials of the Izu islands – all dressed in suits and ties. They were visiting Shikinejima as part of a series of joint island visits to assess

economic development opportunities.

The officials were intrigued to learn what the scientists were up to and made some suggestions of other spots to sample. One was an island occupied by Japanese self-defense forces and generally closed to outsiders. But the island is known to have areas of extremely hot water just below the surface of the land, sometimes up to 100 C.

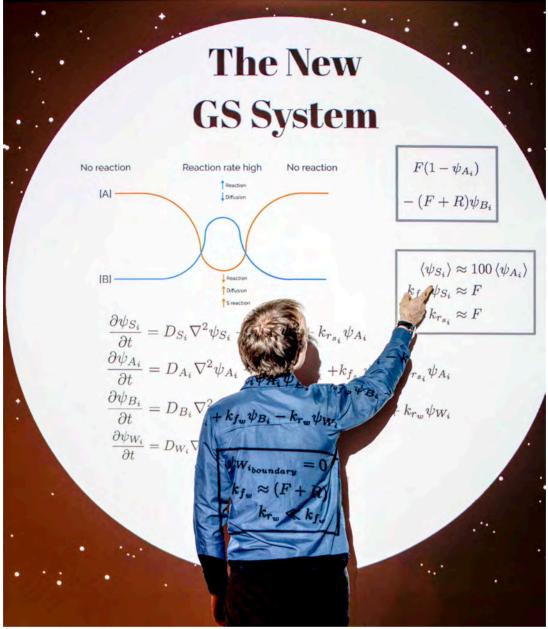
The officials gave their cards and told the scientists to contact them if they wanted to get onto that island for sampling. And the official from Shikine-jima was

already thinking big.

"It would be a very good thing," he said, "if you found the origin of life on our island."

One of the goals of his work is to help answer some of the outstanding questions about that all-important flow of electrons (electricity) from the core of the Earth. The energy transits through the mantle to the surface and then often is in contact with the biosphere (all living things) before it enters the atmosphere and sometimes





ELSI research scientist Stuart Bartlett specializes in artificial life and complex systems.

#### 10.Theorists Are Essential

ELSI is constantly nourished by lab experiments, measurements, sampling, and modeling – basic science of all sorts. But the agora was built for a specific and essential reason beyond afternoon tea. It was designed as a place for theorists to meet with experimenters and modelers, and it, too, has been well used.

Some of the least-understood though influential scientists in an institute like ELSI are the theorists.

Theorists at the institute include Hut, Nicholas Guttenberg (who also works at a Japanese company trying to understand, among other things, the origin of consciousness), planetary scientist Ida, and geophysical modeler Hernlund. But the two most often seen in the agora in animated discussion with other scientists are Eric Smith, whose background is in physics and complex systems, and Nathaniel Virgo. It can be difficult to explain precisely what they do and why, but it's not hard to see that they do it well and are widely respected.

Virgo comes from the United Kingdom but before coming to ELSI had been in Tokyo for two years working in Takashi Ikegami's University of Tokyo lab. The two had some of the same interests and scientific inclinations. In particular, they were interested in material systems – like oil droplets – that can be self-moving, even swimming along a pH gradient. Virgo also has been executive director of EON, and, in that position, he convenes those EON researchers cycling through ELSI for a Monday afternoon science chat. His goal is not only to be of theoretical use to individuals in their research but also to aid in the process of tying together the different disciplines that are often at the table.

"Ideally in science, the cycle is hypothesis, testing, and then getting to another hypothesis. But this coming up with a good hypothesis is really difficult. We at ELSI want to know the origin of life. But how do we even ask the scientific questions in a way that makes sense? This is where theory can be useful."

Virgo's background is in computer science, theoretical ecology, and artificial life. In all three, the goal is to discern the patterns and the rules that make things run, rather than to delve into the specific workings of specific organisms or locales. And, using the same abstract approach to the scientific issues related to origins, he hopes to help tease out useful ways forward for colleagues and himself.

His own work is focused now on possible pathways from geoscience to bioscience.

He works at ELSI with chemist-astrobiologist Irena Mamajamov on the "messy" chemistry initiative, an effort to embrace the known complexity of early Earth and to use it as a guide rather than to view it as an obstacle to understanding issues such as how RNA or proteins might first have been formed.

Part of his role is to design computer methodologies to help trace and understand the vast number of chemical reactions potentially underway in these "messy" scenarios. This effort is also a journey into an abstract world where the goal is to identify patterns and processes rather than the specific molecules produced by them, where "broad classes of behavior can arise repeatedly, even in systems that are very different in their microscopic details." Autocatalysis, the enabling of a reaction in which the catalyst is also one of the products of the reaction, is of special interest because with it present, the system can really grow.

Virgo and experimental chemist Cleaves have an experiment underway that speaks to the kinds of reactions that especially intrigue Virgo. The two can't be specific now about their work, but it involves slow chemistry over months or years and looks for unexpected changes of an uncontrolled, "messy" kind.

Virgo's own hypothesis on the origin of life involves "messy" chemistry, followed by less-messy chemistry, leading to "messy" biology that in turn emerges ultimately as lessmessy life. Underlying a lot of his thinking is the notion that



evolutionary change – which he sees as a pattern begun in the chemical and mineral worlds – is really about what process, or later what organism, is most adept at evolving. It's called the evolution of evolvability.

"The reason for the abstract nature of my work (and I would say of most theoretical work) is that the goal is to try to capture so-called 'universal' phenomena. Understanding these phenomena on an abstract level tells us what is necessary for them to occur, which in turn tells us where to look in order to find them in the real world," he says.

His theorist colleague Eric Smith comes from the world of complexity science and in particular from the Santa Fe Institute, which is a global center for that approach. Smith's formal background is in physics and mathematics, but he

SHIGERU IDA physics and mathemat is a voracious learner about other fields as well.

Any number of ELSI researchers will remark, unbidden, about how Smith, who is head of the ELSI Scientific Steering Committee, helped them think more broadly about their own work. (Yuichiro Ueno is one of them.)

Thinking broadly comes naturally to Smith as evidenced by his ELSI work but also by influential talks he has given about, among other subjects, the inevitable emergence of life on Earth. Given the physics and chemistry of the solar system, he argues, the movement towards biology on a planet with the features of early Earth was not surprising and random but rather was predictable.

That particular complex and elegant argument came up during a conversation with Ryuhei Nakamura, an ELSI electrochemist and principal investigator. Nakamura said that he heard Smith's talk (which can be found online) and that it changed the way he looked at his own science.

This kind of interaction, Smith says, is part of what a theorist aims for consciously or instinctively.

"To surprise the mind and bump it into directions that mind might not have gone is historically the way that much



NATHANIEL VIRGO AND NICHOLAS GUTTENBERG

important work gets done," he volunteers. And so Smith is often in the agora or at conferences and workshops talking and listening and seeing if there are perhaps broader ways of looking at a researcher's particular scientific problems (or perhaps his own.)

There are two other ways in which Smith the origin-oflife theorist is quite unusual.

The first is that he does not think that the many fields of science that flow into that specific question – how to explain specifically how life emerged -- have the empirical knowledge yet to provide the material that a theorist needs to work with. This is not a knock on his part; he says the problem is extraordinarily hard.

And as a result, he sees part of his job as a theorist as trying to understand the technical issues of many different fields feeding into the question and then to come up with recommendations to ELSI decision makers about which disciplines are missing at the institute and who might best fill the empty space. His views are valued and not infrequently acted on.



Eric Smith

As a specialist in complexity theory, he also instinctively sees the multidisciplinary approach as the most valuable. This is how he explains the problem:

Scientists in their own disciplines "are dogs in fenced yards trying to catch science problems that are like rabbits. If the rabbit goes under a fence, the dog winds up stopping at the fence, which is why it is there."

What happens in complexity science, he says, and is beginning to happen more and more at ELSI, is that "the goal should be to go from one yard to another however long it takes to catch the rabbit."

Smith does his own mathematical and physics work related to origins of life. But his additional theory work at ELSI in practice is a form of community building toward a goal shared by the institute's founders and many other researchers and the administrative staff.

And that goal is to make ELSI a place with the scientific firepower, the culture, the freedom, and the drive to achieve something that is important and inevitably the product of not one mind but many.



# 11.Change Is in The Air

For an institute focused on origins, it seemed to be in keeping with its spirit to have examined the origins of the institute.

Those early signs and signatures of a new institute in the making are manifested now in an increasingly mature hub for origins of Earth and origins of life research and gatherings. The institute has become something living and organic.

As a way to illustrate the world of ELSI scientists today, some stories follow that will hopefully give a taste of their work. Current work deserves discrete stories about what is happening right now

This exploration of ELSI would also be incomplete without addressing what might lie ahead.

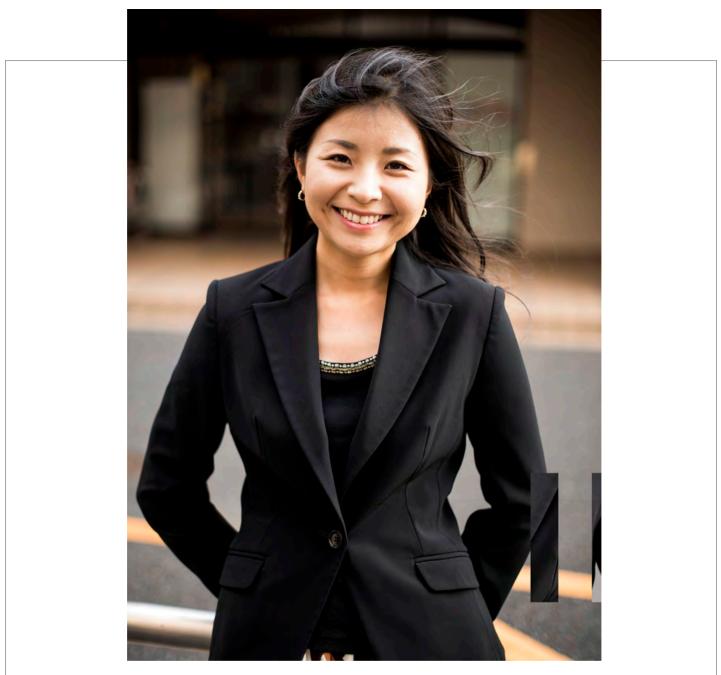
WPI funds continue only until late 2022. Only one WPI institute has won a five-year extension and that is the Kavli Institute for the Physics and Mathematics of the Universe, (IPMU). Of all the WPI sites, ELSI is probably most like

IPMU, the only other pure basic science institute. IPMU also is one of the few institutes to win outside funding – from the Kavli Foundation – a goal of the WPI program.

ELSI's host, Tokyo Tech, is committed to keeping ELSI going after those five additional years of the WPI grant, but will the institute grow or shrink in that transition? And a related question: will it be able to expand and win enough outside support to flourish as the world-class origins-of-Earth and origins-of-life institute its founders envisioned?

These are enormous challenges and, inevitably, change is in the air to meet them. What's more, Vice Director Ida is convinced that institutions need a shake-up and a restructuring on a regular basis or else they become staid and conservative. He may well get his wish.

And a driving reason why is that the goal of becoming a premier institution, an important model for Japan and beyond, is definitely within reach of the robust, highly complex system into which ELSI has evolved.



## Yuka Fujii . Planetary Scientist

A planetary scientist by training at the University of Tokyo, ELSI project associate professor Fujii is back at ELSI for a second time, after spending two years at NASA's Goddard Institute for Space Studies in New York City. She worked there with 3-dimensional modeling of Earth and exoplanets, and wrote a recently published paper about atmospheric properties of habitable zones around exoplanets of red dwarf stars.

Asked about the relevance of exoplanets to origin of Earth and of life studies, she replied: "I think the exoplanet study is putting the Earth and Earth's life into a much broader context than before. Our desire is to gain insights into the formation and evolution of Earth by looking at the large ensemble of planetary systems. What is the typical amount of water Earth-like planets have? Where did the water come from? What are the compositions of primordial atmosphere? Are our theories about the early and long-term evolution of planets right? Studying exoplanets provides clues to such kind of questions."



# ELSI is an Origins Hub

ELSI was but five months old when, in March of 2013, it held its first international symposium on the origins of a habitable Earth and the ensuing origins of life. This was an enormous undertaking for the fledgling staff, but it was also an essential one. Because gathering together scientists from around the world to discuss these topics, and to learn from what is being reported, is one of ELSI's highest callings.

ELSI's sixth annual international symposium is taking place in January and will continue and expand the tradition of assembling a high-profile, international and Japanese collection of origins scientists. Perhaps most important, it will give them three days to not only listen to some pioneering research, but also to discuss and debate ideas and findings with the ELSI staff and community.

The symposium will follow the ELSI Origins Network (EON) annual meeting, which also brings scientists together from around the globe. EON has also sponsored frequent workshops on origns issues, again attracting some of the world's best and brightest.

ELSI and EON together have brought more than 900 official visitors to the campus as part of these meetings and as a broader effort to both introduce origins scientists to ELSI and Tokyo, and to learn from what they have to say.





1. Norman Packard A complex systems expert, showing a model of his engineering work to University of Tokyo professor and artificial life specialist Takashi Ikegami, as well as to ELSI members Stuart Bartlett and Olaf Witkowski

2. Kei Hirose advising one of his students.

3. ELSI chemists looking over data, a common scene in the agora.











#### 1 Jack Szostak / David Deamer

Nobel laureate and ELSI PI Jack Szostak (right) with biologist David Deamer (left) of University of California, Santa Cruz at an EON's "Reconstructing the Phenomenon of Life to Retrace the Emergence of Life" workshop held in May 2017 at ELSI.

## 2. Eiko Ikegami

Chair of the Sociology Department at The New School in New York City. She was one of the key speakers at the "Cells to Society" workshop, which looked at the origins of living organisms in nature for parallels and insights into the origins of autonomous entities in culture.

#### <sup>3.</sup> Jennifer Hoyal Cuthill / Simon Conway Morris

Palaeobiologist and EON postdoctoral fellow Jennifer Hoyal Cuthill, with her Cambridge University advisor and renowned palaeontologist Simon Conway Morris.

#### <sup>4.</sup> Steven Benner / Kuhan Chandru /Sudha Rajamani

Benner, of the Foundation for Applied Molecular Evolution, with ELSI postdoc Kuhan Chandru and chemist/astrobiologist Sudha Rajamani from the Indian Institute of Science Education and Research, at the inaugural workshop "ELSI Origins Network (EON) Strategy for Origins of Life Research."

#### 5. Betul Kacar

Astrobiologist and specialist in molecular evolution, EON Global Science Coordinator and one of the earliest and most frequent visitors to ELSI.

# 6. Andy Knoll

Professor of biology and of earth and planetary sciences at Harvard University. Knoll is also an Archean era geologist and co-founder of the Origins of Life Initiative at Harvard.

6.









# 7. Antonio Lazcano

Evolutionary biologist with the Universidad Nacional Autónoma de Mexico presenting at a history and philosophy of origins research workshop at ELSI. Lazcano served twice as President of the International Society for the Study of the Origin of Life (ISSOL).

### 8. Masafumi Kameya

A specialist in microbial metabolism and a former ELSI research scientist and now an affiliated scientist, presenting his poster to WPI Working Group for ELSI members at an annual site visit.





1 Shio Watanabe

- 2. Maki Akimoto
- <sup>3.</sup> Uika Hosomichi
- 4. Harumi Tanaka
- <sub>5</sub> Asako Monica Sato
- 6. Keiko Matsuura
- 7. Ayako Tamai
- 8. Minako Shirakura
- <sub>9</sub>. Ayame Okuyama

#### ELSI Secretaries and the Art of Japanese Teamwork

For lead secretary Asako Sato, the smooth running of her office – which is so vital to ELSI – can occur only if there is teamwork. In the office, "everyone does everything," she explains, and what she means by that is rather daunting.

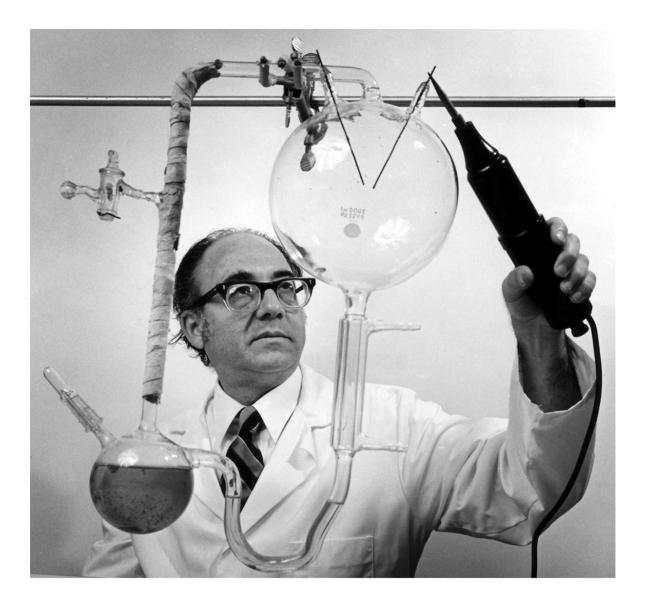
At ELSI, secretaries take care of the institute's constant travel needs, they help researchers purchase new equipment, they make complicated lives a little less complex, they keep the ship steady.

"Everyone has the same goal: support the researchers and make the researchers happy," Sato said. "This is not something you can teach. Our people must have it in their minds."

ELSI has nine full-time secretaries and there is a broad consensus on the campus that they play a major role in creating and maintaining the tangible sociability of the place. Ideas clash all the time at ELSI and sometimes people too. But this happens within an environment that smooths the rough edges.

The team on the second floor that works alongside Sato can claim a good amount of credit for that amicable air and resulting researcher productivity. And while they would never make that claim as individuals they just might as a quintessentially Japanese hard-working team – one that was uncomfortable with the prospect of taking a group photo unless everyone in the office could be present and included.





# 12."Messy" Chemistry

A New Way To Approach the Origins of Life

More than a half century ago, Stanley Miller and Harold Urey famously put water and gases believed to make up the atmosphere of early Earth into a flask, sparked the mix with an electric charge, and produced amino acids and other chemical building blocks of life.

The experiment was hailed as a ground-breaking reproduction of how the essential components of life may have been formed or at least as a proof of concept that important building blocks of life could be formed from more-simple components.

Little discussed by anyone outside the origins of life scientific community was the fact that the experiment also produced a lot of a dark, sticky substance, a gooey tar that covered the beaker's insides. That residue was dismissed as largely unimportant and regrettable then and in the thousands of parallel origins-of-life experiments that followed.

Today, however, some intrepid researchers are looking at the tarry residue in a different light.

Just maybe, they argue, the tar was equally if not more important than those prized amino acids (which, after all, were hidden away in the tar until they were extracted from it). Maybe the messy tar – produced by the interaction of organic compounds and an energy source — offers a pathway forward in a field that has produced many advances but ultimately no breakthrough.

Those now studying the tar call their research "messy" chemistry, as opposed to the "clean" chemistry that focused on the acclaimed organic compounds.

There are other centers where different versions of "messy" chemistry research are under way — including George Cody's lab at the Carnegie Institution for Sciences and Nicholas Hud's lab at the Georgia Institute of Technology — but the research is probably most concentrated at the Earth-Life Science Institute in Tokyo (ELSI).

There, "messy" chemistry is viewed as an ignored but promising way forward and almost a call to arms.

"In classical origin-of-life synthetic chemistry and biology, you're looking at one reaction and analyzing its maximum result. It's A+B = C+D," says Irena Mamajanov, an astrobiologist with a background in chemistry who is now a principal investigator at ELSI and head of the overall "messy" chemistry project.

"But life is not like that; it isn't any single reaction. They're looking at a subset of reactions, and we ask: 'Why not look at the whole complex system?"

There's a broader scientific lineage here – researchers have worked with complex systems and reaction systems in many fields, and, in principle "messy" chemistry is the same. It involves taking a systems approach and applying it to that black box period on Earth when nonbiological chemicals were slowly transformed (or transformed themselves) into chemical systems with the attributes of "life."

The "messy" chemistry work is being <sup>into the ch</sup> noticed, and Mamajanov was a featured speaker in the "New Approaches to the Origins of Life" plenary at the 2017 Astrobiology Science Conference in Mesa, Arizona. At ELSI alone, researchers have been working on "messy" chemistry using metals, using electricity, using radioactivity, using computational chemistry, and using analytical chemistry to tease out patterns and structure in tars like those produced by Miller and Urey.

Mamajanov says this "messy" chemistry approach – which she learned to some extent as a fellow at both Carnegie and Georgia Tech — makes intuitive as well as scientific sense because life is nothing if not complex. Wouldn't it be logical for the origin of life to be found in some of the earliest complex systems on Earth rather than in straight-line processes that progress almost independently of all the chemistry happening around them?

It stands to reason that the gunky tar played a role, she says, because tars allow some essential processes to occur. Tars can concentrate compounds, can encapsulate them, and can provide a kind of primitive (i.e., "messy") scaffolding that could eventually evolve into the essential designs of a



Astrobiologist and chemist Irena Mamajanov and prebiotic chemist Kuhan Chandru in their messy chemistry garb. Mamajanov leads an effort at the institute to find new "messy" pathways that allowed early Earth chemical systems to become transformed into the chemical building blocks of life through countless and unknown reactions.

living entity.

It's the structure, in fact, that stands out as a particularly promising aspect of "messy" chemistry. More traditional synthetic biology is looking for simple molecular structures created by clean reactions while "messy" chemistry is doing the opposite.

The goal of "messy" chemists is to see what interesting chemical processes take place within a defined portion of the "messy," complex sample. What surprising compounds or chemical structures might be formed? And how might they shed light on the process of chemical self-organization and, more generally, the origin-of-life question? In her lab on the basement floor of the ELSI main building, Mamajanov works with colleagues to synthesize her "messy" molecules and push further into understanding their structures, their potential ability to adapt, and their suitability as possible precursors to the RNA and DNA molecules that characterize life.

Her specific area of study is hyperbranched polymers – 3-dimensional, tree-shaped chains of repeating molecules that connect with other similar molecules. The result of the connections is globular, presents multitudes of chemical reactions, and has some hidden and protected spaces inside the globs. Related synthetic or biomimicked chemicals (i.e., modeled on biological compounds and processes) have been used by the drug industry for some time.

With these hyperbranched polymers, Mamajanov has worked to produce pathways within the "messy" systems where the polymers show characteristics of evolvability.

Hyperbranched polymers exist in nature, most prominently in the process that forms petroleum oil but are also made synthetically for research. The tar that Mamajamov makes out of the chemicals is greasy but clear rather than brownish.

Her hyperbranched polymers are synthetic, as are those of noted synthetic-chemists-in-search-of-biology, such as Steven Benner, at the Foundation for Applied Molecular Evolution and Gerald Joyce of the Scripps Institute. But their starting points are quite different, as are the goals. The two men are working to create clean chemical systems that produce the building-block molecules that they want but without the tar. Mamajamov is intentionally making tar.

Eric Smith, a specialist in complexity systems, physics, and chemistry, who is also at ELSI, sees the "messy" approach as containing the seeds of an important new way forward. "What is now called 'messy' chemistry used to be completely out of the mainstream," he says. "That is no longer the case."

Smith describes how John Sutherland of the Laboratory of Molecular Biology in Cambridge, U.K., won accolades for his work on the prebiotic assembly of important building blocks for RNA using controlled chemistry that avoided all the messiness.

But he was also later criticized for using a such a controlled model – early Earth, after all, did not have any outside controller – and Smith says Sutherland is incorporating the messier side of prebiotic chemistry today, although he still views most tars as impediments.

"Now he's going back to a one-pot synthesis, allowing reactions that would have to be less controlled than what he was doing before," Smith says of Sutherland. "He may do it in a way quite different from Irena and others involved in 'messy' chemistry, but it seems to allow for many more



Irena Mamajanov and Yuki Suna

complex reactions."

And complexity is indeed the desired endpoint. Not simply repetitive reactions and not random ones, but rather reactions that are very complex but ultimately structured.

This search for structure within vast complexity is where another novel aspect of the "messy" chemistry approach comes into play: Mamajanov and others at ELSI are collaborating with practitioners of "artificial" chemistry, computer-simulated versions of what could be happening in "messy" interactions.

That work is being done primarily by Nathaniel Virgo, an artificial-life specialist who uses computing to learn about how chemical systems behave once you leave the laboratory, where the number of chemical components is small and controlled.

His big question: "Are there situations in which you can get 'order from disorder' in chemistry – to start with a 'messy' system and have it spontaneously become more ordered? If so, what kinds of conditions are required for this to happen, and what kinds of ordered states can result?"

Mamajanov needs Virgo's computations to analyze and project what a messy chemical system might do since the sheer number of possible chemical reactions involved is huge. And Virgo needs the "messy" chemistry as a test bed of sorts for his abstracted questions about, in effect, making order out of what appears to be chaos. They are, for each other, hypothesis-generating machines.Virgo pointed to several primary reasons why computational work is important for answering questions about creating order from disorder (and ultimately, he is convinced, life from nonlife.) "The first is simply that studying 'messy' chemistry experimentally is really hard. If you have a test tube containing a mess, it takes a lot of work to find out what molecules are in it, and [it is] basically impossible to know what reactions are happening, at least not without an enormous amount of work. In contrast, in a simulation, you know exactly what molecules and reactions are present, even if there are millions of different types."

The second reason involves the fundamental issue of studying specific chemical systems versus studying general mechanisms.

"As a complex systems scientist, I first want to know what, in general, is required, for a given phenomenon to occur. Once this is known, it should become clear which real systems will exhibit the right kinds of properties" Virgo says.

"This allows us to narrow down the vast space of possible hypotheses for the origins of life rather than simply testing them one at a time. It should also give us some insight into the question of whether life might be possible with completely different kinds of chemistry than the proteinnucleic-acid-metabolite chemistry we have on Earth."

From his studies he has found that in "messy" chemical systems, chemical self-production occurs and that the systems can change dramatically in response to small changes, such as an increased temperature.

"This suggests that 'messy' chemistry is fundamentally qualitatively different from 'clean' chemistry – adding more species doesn't just mean the system gets harder to study, it also means that fundamentally new things can happen."

And in the origins-of-life world, new things are definitely happening.



NATHANIEL VIRGO



## 13. Ancient Oceans of Magma

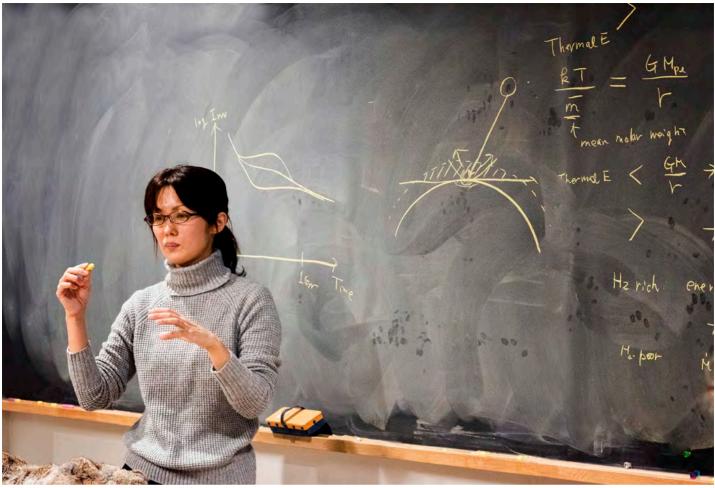
In the late stages of the formation of Earth, the planet was a brutally hot, rough place. But perhaps not precisely in the way you might imagine.

Most renderings of that time show red-hot lava flowing around craggy rocks as meteorites fall and volcanoes erupt. But according to those who study that time, the reality was rather different.

There was most likely no land much of the time, medium-to-large meteorites arrived every few thousand years, and the surface was the consistency of room-temperature oil. Of course it was not oil since this was a preorganic time. Rather, it was mostly molten silicates and iron that covered the Earth in a magma ocean.

At its most extreme, the magma ocean may have been as deep in places as the radius of Mars. And it would have created thick atmospheres of carbon dioxide, silica dust, other toxic gases, and, later, water vapor.

While meteor impacts did play a major role in those earliest days, the dynamics of the magma ocean were determined more by the convection currents of the superhot magma (2000 degrees F and more). Also playing



Κεικό Ηαμανό

major roles were the high winds blowing above the surface, the steam atmosphere the magma often created, and, ultimately, by the cooling that over hundreds of millions of years led to the formation of a solid crust.

There is a burgeoning scientific

interest in the magma ocean, which is expected to be part of the formation of any terrestrial planet and some lunar formations.

The research focuses on gaining an understanding of the characteristics and diversity of magma oceans and, increasingly, on the potentially significant role a magma ocean plays in the origin of life story on Earth and perhaps elsewhere.

Understanding this early Earth period is so important for a simple reason (i.e., biochemistry) emerged on Earth from geochemistry (i.e., rocks and sediment). Some of the earliest geochemistry occurred in the magma ocean, and so it makes sense to learn as much as possible about the very earliest conditions that ultimately led to the advent of biology.

What's more, scientists believe that magma oceans created the conditions that allowed molten iron to drop down to form the planet's core (necessary for creating

Scientists are looking more closely at the nature and role of magma oceans in the earliest times of a planet's history. The Earth's oceans of molten rock solidified and degassed in a relatively short time, but did a very long-lasting magma ocean on Venus leave behind its current parched and searing surface?

in the formation of more complex and thick atmospheres, and those atmospheres produced water cycles. All these planetary changes are seen by scientists as likely pre-conditions for the formation of a habitable planet

magnetic fields), that process resulted

and for the emergence of life.

So, the magma ocean is a central focus of the unusual origins-of -life institute that I've visited in recent weeks, the Earth-Life Science Institute (ELSI) in Tokyo. While individual researchers around the world work on problems related to the magma ocean, ELSI has put together a kind of critical mass of international scientists of varied backgrounds to take on the subject. That team includes ELSI Vice Director John Hernlund and his wife, seismologist Christine Houser.

Geophysicist Hernlund says that "essentially, magma oceans are the answer to the question of where we came from." And how the planetary evolution that led to life began.

He likens those vast expanses of liquefied metal and rock to a kitchen where meals are cooked from a collection of ingredients.

"If you put some vegetables and meat into a pot of cold

water or just let them sit, you're not going to get anything particularly interesting," he says.

"You need the heat, and that's what the magma ocean provides big time," adds Houser.

These molten oceans consisted primarily of metals and silicates along with gases including CO<sub>2</sub>, methane, and water vapor, and other trace elements that crashed into the Earth from space. The magma ocean sometimes covered the entire globe, sometimes only parts, and in time it cooled enough to crystallize and form the first crust

of the planet. It should be noted, however, that while there is some agreement among geoscientists about the presence and basic features of an early magma ocean, there is little concrete evidence that proves their conclusions. There are no direct remnants of the magma ocean; only some chemical signatures carry evidence of its longago presence. Not surprisingly, there are scientists who dispute that a magma ocean ever existed.

But there are physical realities that scientists such as Hernlund and Houser say required a magma ocean. The first and foremost is that large, mostly iron core at the center of the planet, the presence of which is not easy to explain without a magma ocean.

Iron is a heavy metal that is thought to have arrived on the proto Earth often mixed with silicon and silicates. Without great heat to melt those elements, the iron would have stayed where it was — mixed among the protective magnetic field.

While a magma ocean is a particular and identifiable phenomenon, it by no means exists, behaves, and solidifies the same way on all planets and moons.

Another ELSI research scientist, planetary systems specialist Keiko Hamano, published a paper in Nature that compares the likely magma ocean episodes on two quite similar planets, Earth and Venus. Actually, she also makes

broader exoplanetary conclusions based on a planet's location in relation to its host star, its size, and its chemical makeup.

> Planets beyond a certain critical distance from their host stars, she found, are expected to have much shorter periods with magma oceans — along the lines of several million years. But those planets within that critical distance can have magma oceans for 100 million years and longer.

Models showed that a striking result of the differing lengths of magma ocean regimes is that however similar the planets may otherwise be, the planets and their atmospheres will have different fates. The ones with shorterlasting magma oceans are likely to retain whatever water vapor is present in the magma and gradually recycle it to form a water ocean.

> The closer-in planets with the longer-lasting magma oceans, however, are likely to lose whatever water they might have initially had as the water molecules are broken apart and the lighter hydrogen floats into the high atmosphere and space. The end result is that the planet becomes desiccated, while remaining a superhot-house because of released water vapor and greenhouse gases in the

silicates and other compounds of early Earth.

To deep earth geoscientists like Hernlund and others at ELSI, logic points to a superhot magma ocean that melted the rocks and metals and allowed the heavier liquid iron to sink to the center. Something similar is known to have happened on our moon.

On Earth, enough iron sank to the center to form a core that, in turn, became the crucial heart of the planet's

atmosphere.

**Present Earth** 

This long-ago presence of magma oceans may well explain, or help explain, why Earth is temperate and supports life while otherwise-quite-similar Venus is bone dry and has surface temperatures of 460 degrees C (860 F).

"Atmosphere folks generally don't care about the magma ocean itself, and researchers in magma oceans don't know a lot about the early atmosphere," Hamano told me. "I want

On the primitive Earth, frequent asteroid bombardments and the

heat from formation created a thick magma ocean that extended beneath the solid mantle. It gradually cooled down and probably

still remains in a small amount as the ultralow-velocity zones at the

Small amount of

maining magma

Metal core

**Primitive Earth** 

bottom of the mantle. (Kei Hirose, Tokyo Institute of Technology)



In the Agora, John Hernlund and Christine Houser discuss the seismic and volcanic activity associated with the Ring of Fire.

to connect the two fields because you really can't understand either unless you begin to understand both."

Here's an additional intriguing possibility from Hamano: an enduring puzzle about Venus is that its surface is largely smooth and uncratered. In planetary science terms, that would suggest it is a young planet. But it is not; it was formed at the same time as Earth.

Hamano suggests that the smooth surface may be a function of those connections between the magma ocean and the atmosphere. With the planet unable to lose its heat, the Venusian atmosphere may have kept the planet so hot that the magma ocean survived for as long as 3.5 billion years. And when a meteorite falls into a magma ocean, it leaves no craters behind.

There is also variability in how magma oceans come to be.

Perhaps the most common way is formation via incoming planetesimals, asteroids, or, in the case of our moon, a planet nearly the size of Mars. The impact produces enormous heat, which then radiates outward and perhaps around the entire planet and deep into it.

The early inner solar system had many more flying objects than are found now, and a planet like Earth could have had multiple magma ocean periods, says Shigeru Ida, a planetary formation specialist and vice director at ELSI.

Its magma ocean could also have been formed by an intense greenhouse effect, one created by the release and collection of high-pressure hydrogen in the atmosphere. That process has been proposed as an alternative or corollary to the impact theory — a greenhouse effect so intense that it makes rocks melt.

Ida explains that magma oceans can be formed on smaller objects as well due to the radioactive decay of aluminum-26, an isotope mainly produced in supernovae but prevalent in the early solar system. The heat produced by the radioactivity is believed to be strong enough to have melted rock and separated iron from silicate on small bodies like the asteroids Ceres and Vesta and on some protoplanets.

"We have our ideas about what caused the magma ocean on Earth, but nobody has proof," Ida says. "We know there was great heating and melting and separating of iron and silicates, but in truth we don't know even on Earth if it was from a giant impact or the greenhouse."

Swimming in the magma ocean field need not be limited to our solar system.

Both Hamano (in an Astrophysical Journal paper) and Hernlund say that magma oceans are still being formed in the galaxies all the time and that planets with those oceans can become compelling targets for future direct imaging of exoplanets. The trick would be to look for young stars and the young planets that might orbit them.

The discovery of an exoplanet magma ocean accomplished through the detection of certain chemical signatures - could provide important insights into the formation of planets today and a most intriguing look into our distant past as well.



# 14. Radiation, Water, and the Origin of Life

Life on early Earth seems to have begun with a paradox: while life needs water as a solvent, the essential chemical backbones of early life-forming molecules fall apart in water. Our universal solvent, it turns out, can be extremely corrosive.

Some have pointed to this paradox as a sign that life, or the precursor of life, originated elsewhere and was delivered here via comets or meteorites. Others have looked for solvents that could have the necessary qualities of water without that bond-breaking corrosiveness.

In recent years the solvent often put forward as the eligible alternative to water is formamide, a clear and moderately irritating liquid consisting of hydrogen, carbon, nitrogen, and oxygen. Unlike water, it does not break down the long-chain molecules needed to form the nucleic acids and proteins that make up life's key initial instruction manual, RNA. Meanwhile it also converts via other useful reactions into key compounds needed to make nucleic acids in the first place.

Although formamide is common in star-forming regions of space, scientists have struggled to find pathways for it to be prevalent, or even locally concentrated, on early Earth. In fact, it is hardly present on Earth today except as a man-made synthetic chemical. New research presented by Zachary Adam, an earth scientist at Harvard University, and Masashi Aono, a complex systems scientist at ELSI, has produced formamide by way of a surprising and reproducible pathway: bombardment with radioactive particles.

The two and their colleagues exposed a mixture of two chemicals known to have existed on early Earth (hydrogen cyanide and aqueous acetonitrile) to the high-energy particles emitted from a cylinder of cobalt-60, an artificially produced radioactive isotope commonly used in cancer therapy. The result, they report, was the production of substantial amounts of formamide more quickly than earlier attempts by researchers in theoretical models and in laboratory settings.

It remains unclear whether early Earth had enough radioactive material in the right places to produce the chemical reactions that led to the formation of formamide. And even if the conditions were right, scientists cannot yet conclude that formamide played an important role in the origin of life.

Still, the new research furthers evidence of the

possible role of alternative solvents and presents a differing picture of the basis of life. Furthermore, it is suggestive of processes that might be at work on exoplanets as well – where solvents

other than water could, with energy supplied by radioactive sources, provide the necessary setting for simple compounds to be transformed into far more complex building blocks.

"Imagine that water-based life was preceded by completely unique networks of interacting molecules that approximated but were distinct from and followed different chemical rules than life as we know it," says Adam.

Their work was presented at recent gatherings of the International Society for the Study of the Origin of Life and of the Astrobiology Science Conference.

The team of Adam and Aono are hardly the first to put forward the formamide hypothesis as a solution to the water paradox, and they are also not the first to posit a role for





high-energy, radioactive particles in the origin of life.

An Italian team led by Rafaelle Saladino of Tuscia University recently proposed formamide as a chemical

that would supply necessary elements for life and would avoid the water paradox. Since the time that Marie Curie described the phenomenon of radioactivity, scientists have proposed innumerable ways that the emission of particleshedding atomic nuclei might have played roles, large or small, in initiating life on Earth.

Merging the science of formamide and radioactivity, as Adam and Aono have done, is a potentially significant step forward, though one that needs deeper study.

"If we have formamide as a solvent, those precursor molecules can be kept stable, a kind of cradle to preserve very interesting products," says Aono, who has moved to Tokyo-based Keio University while remaining a fellow at ELSI.



Aono and technician Isao Yoda in the radiation room with the cobalt-60 safely tucked away.

The experiment with cobalt-60 did not begin as a search for a way to concentrate the production of formamide. Rather, Adam was looking more generally into the effects of gamma rays on a variety of molecules and solvents while Aono was exploring radioactive sources for a role in the origin of life.

The two came together somewhat serendipitously at ELSI, an origins-of-life research center created by the Japanese government. ELSI was designed to be a place for scientists from around the world and from many different disciplines to tackle some of the notoriously difficult issues in origins of life research. At ELSI, Adam, who had been unable to secure sites to conduct laboratory tests in the United States, learned from Aono about a little-used (and free) cobalt-60 lab on the Tokyo Tech campus; they promptly began collaborating.

It is well known that the early Earth was bombarded by high-energy cosmic particles and gamma rays. So is the fact that numerous elements (aluminum-26, iron-60, iodine-129) have existed as radioactive isotopes that can emit radiation for minutes to millennia and that these isotopes were more common on early Earth than they are today. Indeed, the three listed above are now extinct or nearly extinct on Earth in their natural forms.

Less is known about the presence of natural nuclear reactors, sites where a high concentration of uranium in the presence of water has led to selfsustaining nuclear fission.

Only one such spot has been found - in the Oklo region of the African nation of Gabon, where spent radioactive material was identified at 16 -separate sites. Scientists ultimately concluded that widespread natural nuclear reactions occurred in the region some 2 billion years ago.

That time frame would mean that the site would have been active well after life had begun on Earth, but its

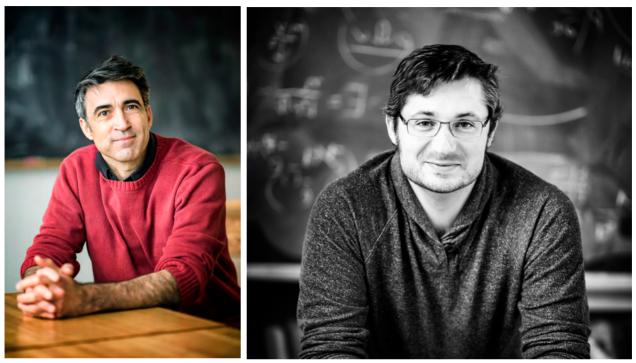
presence is a potential proof of concept of what could have existed elsewhere long before Oklo became radioactive.

Adam and Aono remain agnostic about where the formamide-producing radioactive particles came from. But they are convinced that it is entirely possible that such reactions took place and helped produce an environment where each of the backbone precursors of RNA could readily be found in close quarters.

Current scientific thinking about how formamide appeared on Earth focuses on limited arrivals via asteroid impacts or on the concentration of the chemical in evaporated water-formamide mixtures in desertlike conditions. Adam acknowledges that the prevailing scientific consensus points to low amounts of formamide on early Earth.

"We are not trying to argue to the contrary," he says, "but we are trying to say that it may not matter."

But even the presence of a limited number of environments on early Earth may be enough, Adam says, if they are creating significant amounts of formamide over a



Zachary Adam, an earth scientist in the lab of Andrew Knoll at Harvard University.

long period of time through radiolysis. Then an opportunity exists for the onset of some unique chemistry that can support the production of essential precursor compounds for life.

"So, the argument then shifts to how likely was it that this unique place existed? We only need one special location on the entire planet to meet these circumstances," he says.

After that, the system set into motion would be able to bring together the chemical building blocks of life.

"That's the possibility that we look forward to investigating in the coming years," Adam says.

Jim Cleaves, an organic chemist also at ELSI and a coauthor of the cobalt-60 paper, says while production of formamide from much simpler compounds represents progress, "there are no silver bullets in origin-of-life work. We collect facts like these and then see where they lead."

Another member of the cobalt-60 team is Albert

Fahrenbach, a former postdoc in the lab of Harvard University's Nobel laureate Jack Szostak and now an associate principal investigator at ELSI.

An organic chemist, Fahrenbach was a late-comer to the project, brought in because Cleaves thought the project could use his expertise.

"Connecting the origins of life or precursor chemicals with radiolysis was an active field back in the '70s and '80s," he says. "Then it pretty much died out and went out of fashion."

Fahrenbach says he remains uncertain about any possible role for radiolysis in the origin-of-life story. But the experiment did intrigue him greatly and led him to experiment with some of the chemicals formed by the gamma ray blasts, and he says the results have been productive.

"Without this experiment, I would definitely not be going down some very interesting paths," he says.



The 70-miles long (113km) Eberswalde Delta on Mars is one of the clearest examples of the fossil remnants of what was once a free-flowing river and its spread onto flat land. The image was taken by the Mars Global Surveyor satellite in 2003 (NASA/JPL-Caltech/MSSS)

# 16.If Early Mars Was So Cold, Why Did It Have So Much Surface Water

The study of the origins of Earth and life on it - how geoscience became bioscience - used to focus exclusively on our planet. That is no longer the case.

NASA's and other missions to Mars and potentially habitable moons of Jupiter and Saturn and the explosion of knowledge about planets outside our solar system have broadened the origin-of-life field. Now the origins story has also gone extraterrestrial, and an origins institute has to go extraterrestrial as well.

At ELSI, this broadening is apparent in the focus on planet formation and on the oceans of molten rock present on terrestrial planets in their earliest stages. But the new emphasis also includes research on the habitability of early Mars and on how to read the atmospheres of exoplanets.

ELSI's contribution to research into early Mars centers on water and on the key and much-debated question of how much of it might have been present and free flowing on the surface 3 to 4 billion years ago.

ELSI associate principal investigator Tomohiro Usui, an expert in

geochemistry and cosmochemistry, has been working for several years on the question of how much water was present on early Mars, which is now bone dry.

That issue has been addressed by Usui and by colleagues at NASA and elsewhere through the study of isotopic signatures of hydrogen and its heavier form, deuterium, found in Mars meteorites.

Their conclusion: Mars once had a great deal of water, and a substantial amount of it – as much as 90 percent -- remains frozen below the planet surface rather than having been split into hydrogen and oxygen and swept away as the protective atmosphere of Mars disappeared.

That subsurface reservoir holds much of the substantial amounts of water initially present on Mars, Usui says. "Given our data, that had to be the case."

The ELSI effort to understand water on early Mars has been expanded with the recent arrival of research scientist Ramses Ramirez.

A climate modeler specializing in early Mars, he has been actively involved in research that could help resolve one of the most hotly debated issues in early Mars study: the inability to come up with a broadly accepted climate model that would allow for a warm and wet early Mars.

Adding to the difficulty, the unfolding geology, morphology, and geochemistry of Mars is telling scientists it was not only warm and wet but also warm and wet for quite a long time.

Most climate models, however, show that scenario to be a near impossibility. The models, after all, have to take into account that the sun was roughly 25 percent less luminous than now in those early days of the solar system.

This problem became especially acute after not only NASA's Curiosity rover but also Mars-orbiting satellites found ample evidence of a great deal of water on early Mars.

Most recently, the science lead of the Curiosity mission, Ashwin Vasavada, said that there might well have been water at the rover's landing and research site in Gale Crater for up to a billion years – much longer than previously imagined.

Clearly, the models and the evidence coming back from Mars are seriously out of alignment.

Ramirez, formerly a postdoc at Cornell University's Carl Sagan Institute, has spent years trying to come up with a solution, and he is convinced he finally has it.

He says that the release of vast quantities of hydrogen during volcanic eruptions on early Mars (and early Earth, too) could have created a greenhouse effect far different from the largely carbon-dioxide-induced greenhouses most modelers invoke.

The greenhouse that Ramirez puts forward also requires

Atmosphere South Lee cap Southern Highlands High-6D regolith 

a great deal of  $CO_2$ , but the presence of the abundant hydrogen he proposes allows for a more powerful and long-lived greenhouse effect.

"Most of the current models are simply wrong," Ramirez says. "As I see it, the problem is that the assumptions being made are in conflict with geologic observations."

A key factor in his thinking, Ramirez says, is the work of Usui that supports the presence of large amounts of surface water on early Mars. If very large reservoirs of water ice remain below the surface of the planet now, it's only logical to assume that large amounts of surface water were present on early Mars. Both Usui and Ramirez were familiar with each other's work, and so a collaboration developed soon after Ramirez arrived in Tokyo.

"We hope to reconcile the climate modeling with the water inventory on early Mars, as described

by Tomo and geology and geochemistry found on the planet," Ramirez says. "We'll be able to feed off one another on questions like how much water was there and how long did it remain on the surface. We're both interested in the same problem."

Underlying their work is the conviction that studying the possible emergence of life on other planets is now part of the pathway to understanding the origins of life on Earth.

Liquid water is so important to this science because it is viewed as essential to life on Earth and, by inference, most likely essential to life elsewhere because it is such an ideal solvent.

Ramirez says that the debate over water on Mars has taken a most interesting turn of late towards a theory that



was considered highly unlikely just a few years ago. That theory involves the possible existence long ago of an ocean in the northern lowlands of Mars.

That area is one to two miles below the ground level of the southern highlands. This high-low dichotomy, as it is called, has never been explained in a way satisfying to many Mars scientists. While some see the dichotomy as evidence of a long-ago large meteorite hit, others say the northern lowlands show many signs of having been formed by, or filled by, a large ocean.

Ramirez was recently at the Fourth Conference on Early Mars, organized by the Lunar and Planetary Institute and NASA, and he says a possible northern ocean was very much on the agenda to explain what is called the Mars "dichotomy" --- the one to three kilometer difference in elevation between the southern and northern hemispheres.

"The case for a northern ocean on Mars has never been as strong as now," he says. "I heard lots of support for the hypothesis."

The support comes most prominently from those who say the past decade's discoveries of fossil lakes and rivers make it almost necessary that a very large body of water was at the center of a Martian Mudstone remains of what was once a large lake in Gale Crater, where the Mars rover Curiosity has been exploring since 2112. (NASA/JPL-Caltech/MSSS)



Photo courtesy Ramses Ramirez



Tomohiro Usui

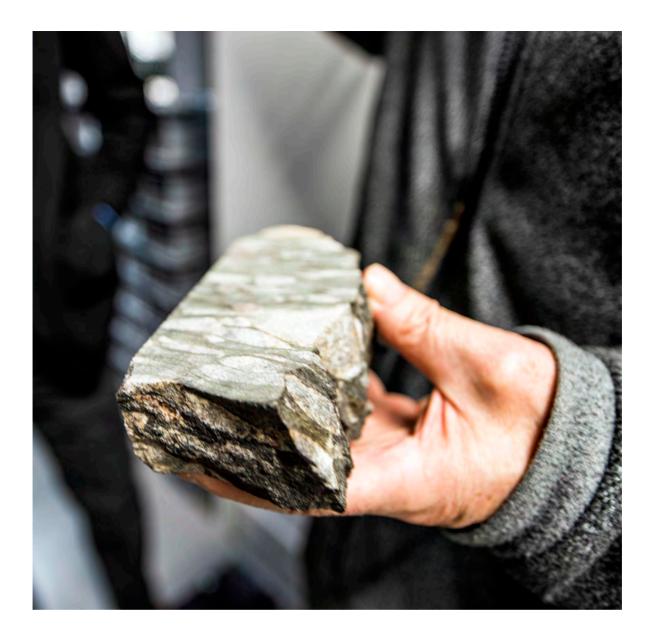
hydrologic cycle. The Earth's rivers and lakes need oceans to supply atmospheric  $H_2O$  that will condense, rain down, and then evaporate again. It seems logical now to posit a similar cycle on Mars.

What's more, two recent papers have reported signs of the effects of massive tsunamis near what would have been a shoreline. The absence of a clearly identified shoreline has long been seen as a weakness in the northern ocean theory, and the tsunami remains – if confirmed – could explain why a shoreline is not clearly visible.

Basing his conclusion on climate models and more, Ramirez views the longago existence of a northern ocean on Mars as quite likely. Does geochemist Usui share that view based on his work on the Martian water budget?

His reply is that it is surely possible. Whether it was a long-lasting ocean or a more transient large lake remains uncertain, he says with customary scientific caution.

But asked if he agrees with the conclusion of Ramirez and others that the discovery of long-lasting lakes and rivers on Mars requires a hydrologic system with a large body of water, his reply is, "Yes, I totally agree with him."



# 15.The Rock Library of Ookayama

Stuffed inside two floors of a seemingly forgotten building on the Tokyo Institute of Technology campus – with long grass surrounding it and a small tree growing out of the brick canopy above the entry door -- is one of the premier early-Earth rock sample collections in the world.

You wouldn't know it since the specimens are jammed into what feels like an industrial attic. Clear plastic containers hold the rock samples, and the containers are stored on library rolling storage stacks resting on metal tracks.

There's rock dust in the air and often no place to stand.

Yet all around are rocks that come from the premier geological sites of the world when it comes to understanding early Earth and, potentially, the emergence of life on Earth. They come from Greenland, from western Australia, from the Three Gorges site in China, from northern Canada, from India, and from the floors of several deep oceans.



There's also a collection of zircon crystals from the very earliest days of Earth – the best collection in the world of these essential scientific guideposts, says the library's founder, Shigenori Maruyama – and a collection of 25-meter core samples from China available to slice and analyze for changes over the eons. Many of the most important discoveries

about ancient life in the rock record came from these locales and these kinds of rocks.

They were collected during innumerable expeditions led by Maruyama, a prominent and prolific early-Earth geologist at Tokyo Tech and at the Earth-Life Science Institute on its campus.

"All these rocks, they tell a story," Maruyama says, waving his arm at his now-jumbled domain. "Our goal has been to get samples from around the world, every important site. Then we can see well the stories each sample tells and then what they all tell together.

"Many collections will have rocks, for instance, from Greenland. Maybe one or two or even ten. We have tons of Greenland samples from the prime locations. This is how we are different."

The library is a geological and geochemical treasure chest, but its future is anything but secure. Space is at a premium everywhere in Tokyo, and that reality includes the

Shigenori Maruyama began a collection of rock samples from important early Earth geological sites around the world in 1991. The collection is now enormous and housed on the Tokyo Tech campus, but its fate is uncertain. campus of the Tokyo Institute of Technology. The rock library collection has already had to move once to smaller quarters, and the threat of more relocations remains.

"Yes, we need help," Maruyama says. "Our situation is not stable, and we are trying to make collaborations with geology departments at Harvard University and the University of Cambridge – a global network to share. But this is not an easy task."

This situation is most unfortunate, says Yuichiro Ueno, a former student of Maruyama and now the director of the library. He says that he knows of no other collection like it in the world. It is a large collection (almost 200,000 samples) and many of them with exacting geological and geochemical maps describing precisely where they came from. They form a great resource for scientists around the world, Ueno says, and for young scientists in his lab as well.

"Students who want to work on 4-billion-year-old rocks from northern Canada can find them here. Or if they want samples from the Pilbara section in Australia [another site where very ancient rocks have been found] they are available and well identified, too," Ueno says.

Important results have come from the library collection, and Ueno suggests with a smile that more and, perhaps,

more high-profile results are on their way.

The library is also home to a rock that Ueno collected during an expedition to the Pilbara section of Australia, where another scientist discovered one of the earliest samples of Earth rock ever found. Ueno, Maruyama, and others returned to the general area seven times, and Ueno uncovered rocks that appeared to be formed as part of a hydrothermal deposit.

Ueno and his colleagues found a tiny inclusion of fluid in one of the rocks, and testing found that in the fluid were the signatures of the gas methane as produced by early methanogen bacteria. The Pilbara work, which was published in the journal Nature, concluded that the bacteria that lived in that rock did so almost

3.5 billion years ago, making it the oldest signature of a methanogen ever detected.

Are there other important discoveries waiting to be made from the cache of rock samples at Tokyo Tech? Absolutely, says Ueno, and he hopes his students – who are officially associated with ELSI -- will be making some of them.

Ueno says the library is of special importance because the technology for understanding the geochemistry of rock samples is improving and the scientific understanding of what to look for and where is also advancing. So having a large collection of rock samples gathered from most of the important sites of ancient rocks in the world is a unique resource.

And while it may seem that the library has less order than it might ideally, Ueno says significant sections of it are now well catalogued, with samples identified and retrievable via computer.

As both Maruyama and Ueno explain with some passion, Japanese science often flows from its unique setting – surrounded by water and at the meeting point of two underwater tectonic plates. Most plates now have evolved into either underwater or continental plates, but



The collection includes long columns of rock pulled from the ground at the site of the Three Gorges dam in China, as well as specimens collected from the near surface of Greenland, Canada, India, and more. Japan presents a more ancient scenario – the one that many geologists hold existed on the early Earth when there were only islands of rock surrounded by water and with many interacting underwater plates.

There is a famous line of islands east of Tokyo, all formed from volcanoes caused by the pushes and pulls of the underwater Japanese and Philippine plates. Maruyama's doctoral thesis was an exhaustive study of one of those volcanic islands.

Maruyama had no small goal in mind when he started the collection – what he calls a "decoding" through geology of all of Earth's history. His work began in partnership with the Japan Geosciences Union and other international organizations.

Maruyama's most recent rock-collecting trip took place this summer. He and students and postdocs from Tokyo Tech and the University of Tokyo took their equipment to the far-afield and generally off-limits jungle site of the fossil remains of the Oklo natural nuclear reactor in the west African nation of Gabon.

Oklo has been of great interest to Maruyama for years because of his work trying to connect the origins of life to naturally existing radioactivity on the early Earth. For him, Oklo is the proof of concept that such a connection is feasible. Indeed, he is convinced that natural nuclear reactors were widespread on the very early Earth.

The Oklo site was discovered in 1972 by a French team, which was mining for uranium. They were surprised when they found signs that radioactive fission reactions had taken place at that site, and they later found 16 more sites in the area. Those signs included a slightly lower ratio of uranium 235 to other uranium isotopes, which means that the uranium 235 had somehow disappeared.

Within several years it was conclusively determined that natural nuclear reactions had occurred in the uranium deposit and that they probably continued for



Shigenori Maruyama

hundreds of thousands of years.

Because of Maruyama's long years of collecting rocks that could provide insights into the origins of life, the government of Gabon allowed him to come and collect samples.

He has now made three trips to Oklo, spending a month in the country each time. After weeks of mapping and surveying the area by drone, he believes he has found an ideal site to drill down into the Oklo rock. The rock will, Maruyama hopes, tell the geological and geochemical story of how significant doses of radiation can and did change an environment and its biology.

"This is a difficult project and we hope that geologists from other countries will want to work with us," Maruyama says. "It should be very appealing because Oklo is unique in the world as far as we know now."

Maruyama says that his goal, not surprisingly, is to ultimately bring Oklo core samples back to Tokyo Tech and the rock library for intensive study. But whether or not that will be possible remains unclear.

"Most of the uranium was mined by the French or

is now depleted of any radioactivity." As a result, he said, the samples will not pose any risk.

But the day the samples arrive in Japan, he acknowledges with a laugh, will no doubt be an interesting one at the customs office.



Yuichiro Ueno



#### Marc Kaufman

Now that I've shared with you some of what I learned during my time at ELSI, let me tell you a little about myself.

I've been a writer for more than four decades mostly in the newsrooms of The Washington Post and the Philadelphia Inquirer, but also as the author of two books. "First Contact: Scientific Breakthroughs in the Search for Life Beyond Earth" was published by Simon & Schuster in 2011 and "Mars Up Close: Inside the Curiosity Mission" was published by National Geographic Books in 2014.

For the past two years I have also written a NASAsponsored column about exoplanets and astrobiology

called Many Worlds (www.manyworlds.space)

Although most of my reporting in the past decadeplus has focused on space, astrobiology and science, I previously spent many years as a reporter and foreign correspondent writing about most everything except science. That changed when I began covering NASA for The Washington Post and I've never looked back.

It has been both a wonderful challenge and a great pleasure to learn about what so many men and women of science are studying, measuring, hypothesizing and discovering. And I thank those at ELSI for taking the time to talk with me about their work.



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#### Nerissa Escanlar . Photograpaher

a very human face on the scientists and staff of the institute. Before ELSI, I worked with they are in - this is the kind of information fine art photographers and photojournalists I'm looking for when taking pictures. Put for ten years. I think the most important these demeanors together, and add quite a things they gave my photography were our friendships and adventures, and the ways to capture some of the qualities that define a they made me a better person.

As a long-time photographer, I pretty much think and understand visually. For me, traveling, not being on the clock and interacting with people is where inspiration deserve, and that will hopefully allow others and ideas come from.

How a subject engages with me is a wonderful, and patient, bunch. big part of creating images. How a person

I came to ELSI in 2015 and set out to put is standing, his or her expressions, their comfort (or discomfort) with the setting few others, and a photographer has a chance person, something pretty deep inside.

> I feel very strongly about the need to show the public who ELSI scientists are; to give them the exposure that they to understand them better. They are a



Written by Marc Kaufman.

All photographs by Nerissa Escanlar unless otherwise stated.

Layout and Cover Design by Michael Sakas Sakas Photographic, www.sakasphoto.com

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#### Acknowledgments

We would like to acknowledge the extraordinary work done on "ELSI RISING" by graphic designer and photographer Mike Sakas. Based in Hong Kong, he worked under extremely tight deadlines to think through, design and lay out our project.

We would also like to thank ELSI's Lucy Kwok for her drawings, proofreading and all-around help.

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How and why did a planet like Earth form? How did geochemistry on prebiotic Earth later become biochemistry and life? What happened, and what made the emergence possible?

Those questions are at the core of ELSI's scientific mission.

The questions are not easy to answer and not at all limiting for a growing origin-of-Earth and origin-of-Life institute. To understand how that change occurred means studying the formation and dynamics of our planet, the evolution of our core and atmosphere, the "messy" process through which the building blocks of life and then life emerged, the possibility of life on other planets, and how life evolved and changed the Earth. And inherent in the quest is the scientific ambiguity about what life is and how life expresses itself.

Quite a full plate for any group of scientists and quite a thrilling challenge.